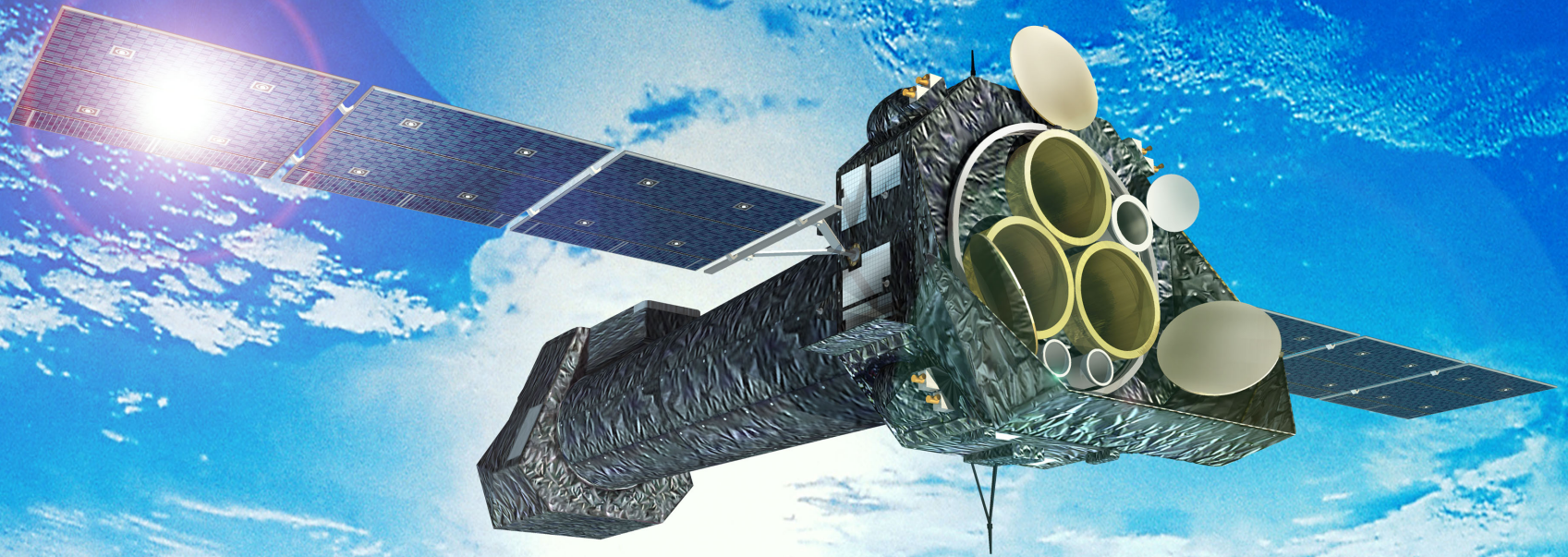


# An empirical method for improving the XMM-Newton/EPIC-pn RMF and ARFs

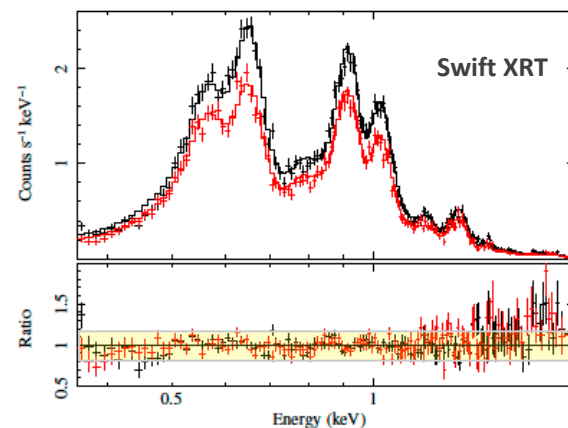
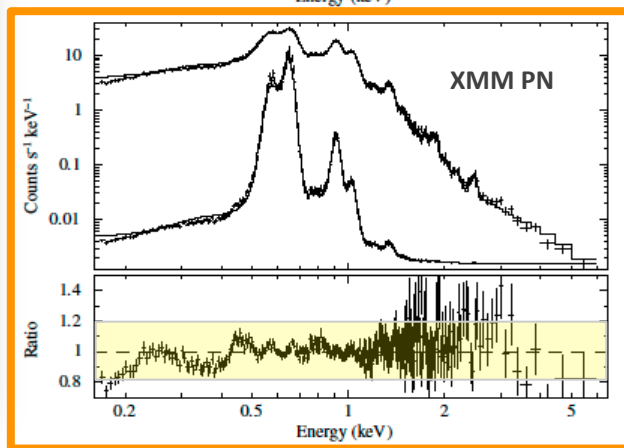
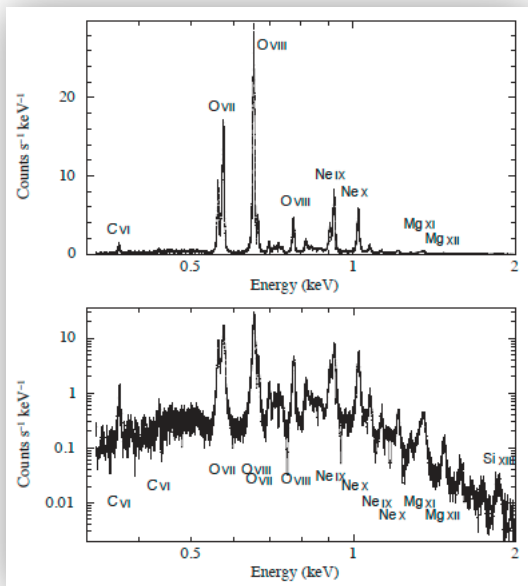
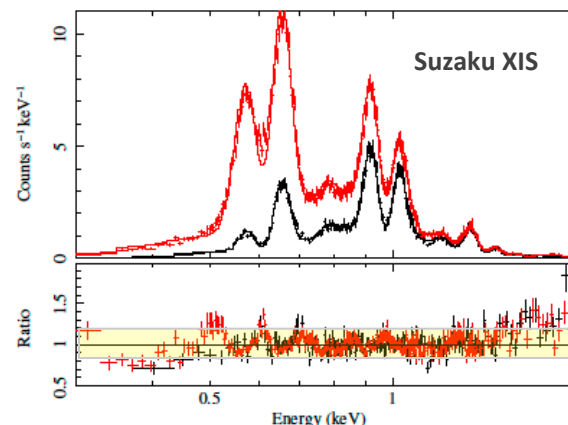
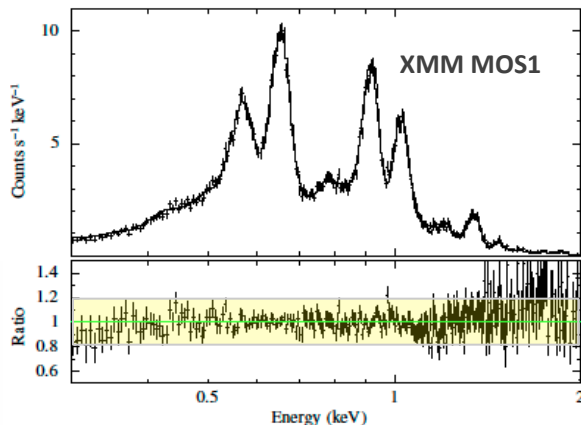
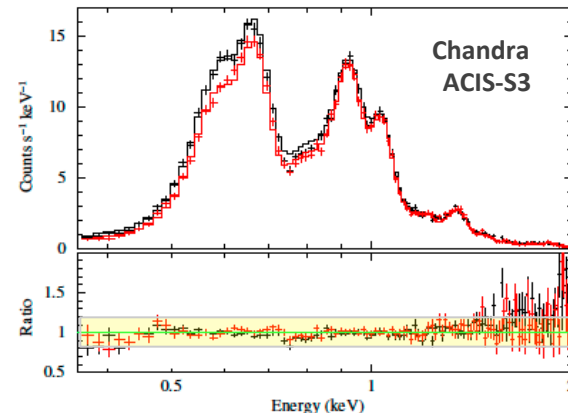
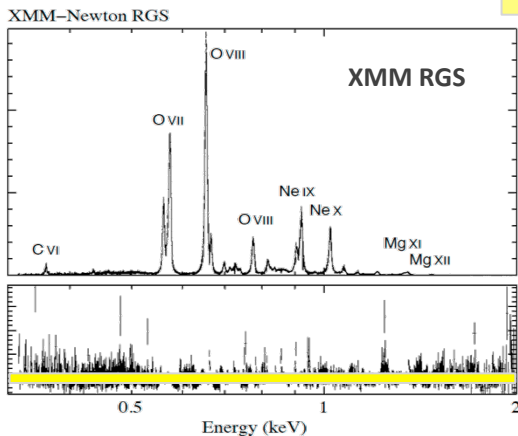
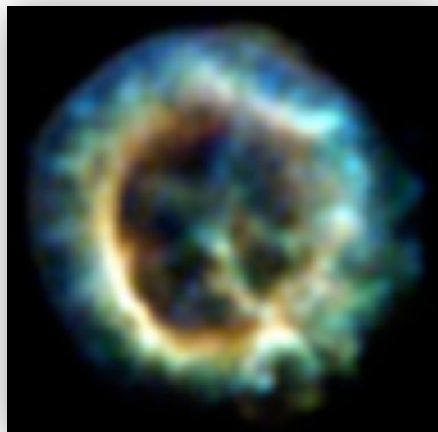




± 20%

**SNR 1E 0102.2-7219 as an X-ray calibration standard in the 0.5–1.0 keV bandpass and its application to the CCD instruments aboard *Chandra*, *Suzaku*, *Swift* and *XMM-Newton***

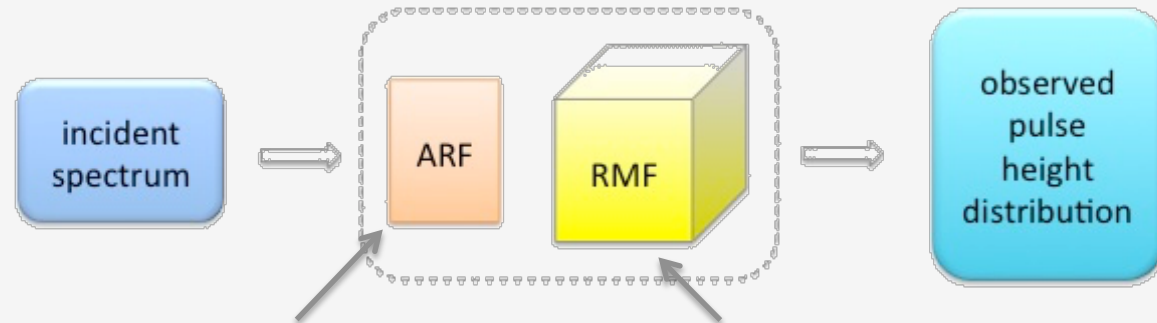
Paul P. Plucinsky<sup>1</sup>, Andrew P. Beardmore<sup>2</sup>, Adam Foster<sup>1</sup>, Frank Haber<sup>3</sup>,  
Eric D. Miller<sup>4</sup>, Andrew M. T. Pollock<sup>3</sup>, and Steve Sembay<sup>2</sup>





# General properties of the ARF and RMF

ARF: „Ancillary Response File“, RMF: „Redistribution Matrix File“



EPIC pn:                      2067                      2067 x 4096 = 8 446 432  
   vector elements                      matrix elements

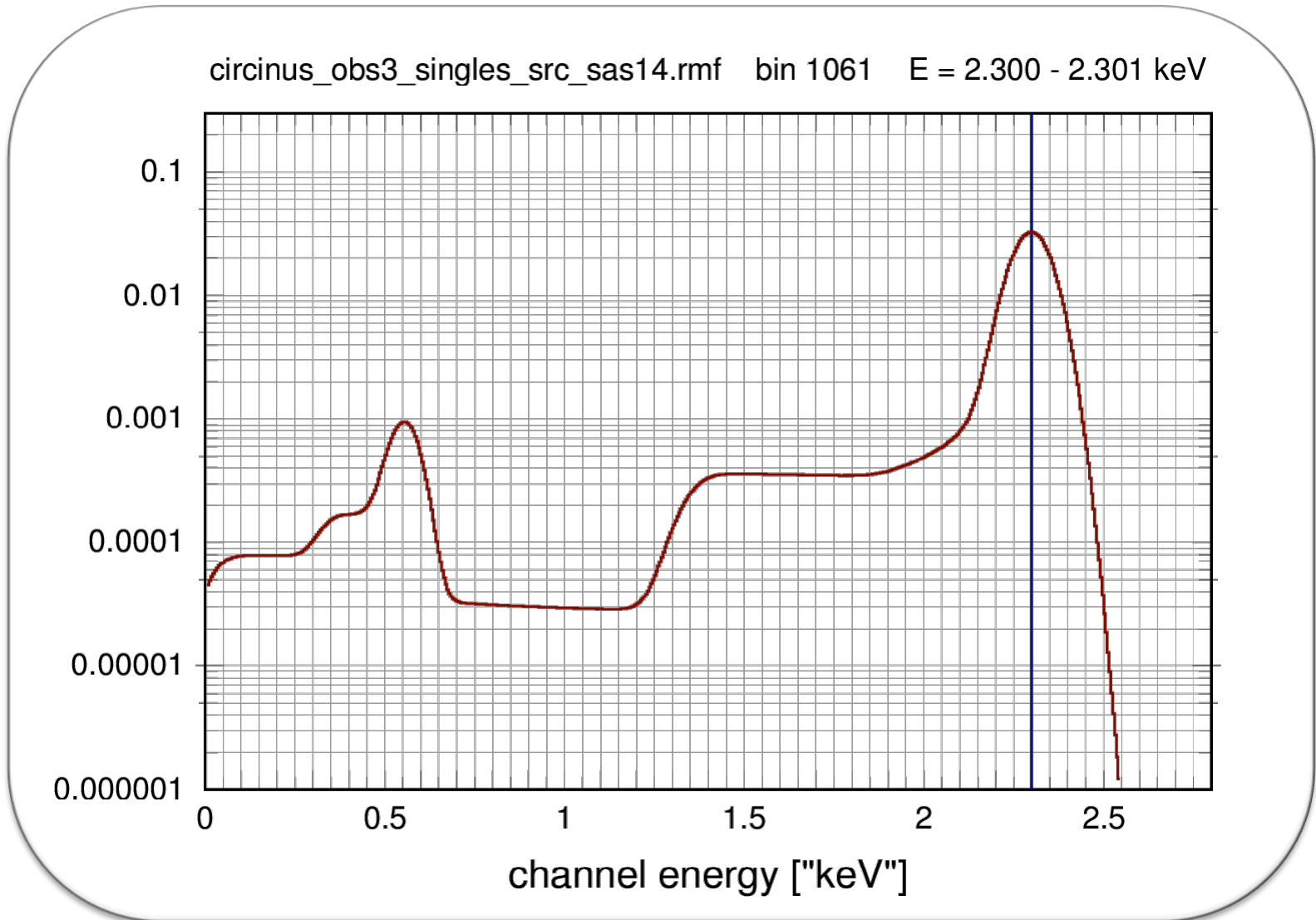
RMF @ EPIC pn: 4096 adu bins from 0.0 to 20.5 ,keV' („EBOUNDS“)  
   2067 eV bins from 50 eV to 16 keV

EPIC pn RMF: 8.5 million matrix elements → **HUGE** parameter space!  
EPIC pn ARFs: 3 x 2067 elements → comparatively trivial

→ find appropriate RMF parametrization and try to optimize it..

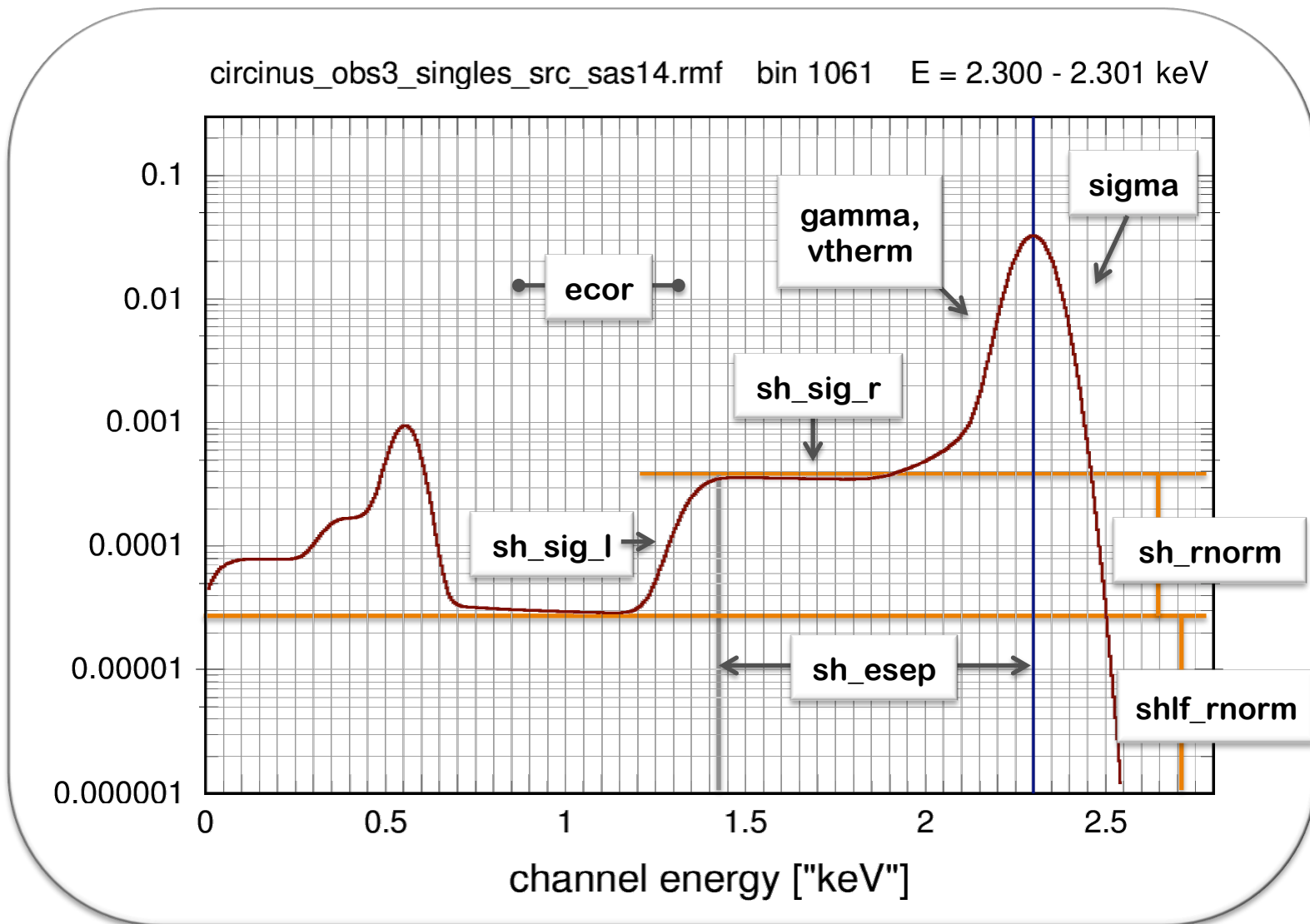


# Model Parameters for the EPIC pn RMF

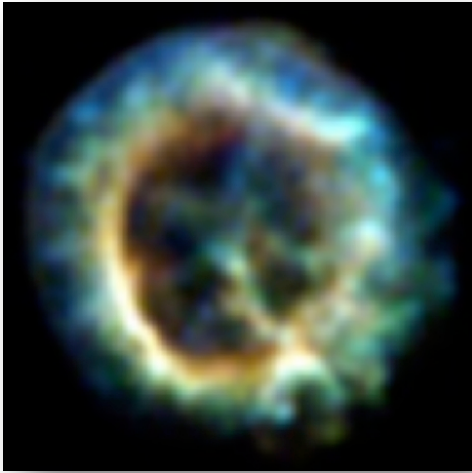




# Model Parameters for the EPIC pn RMF



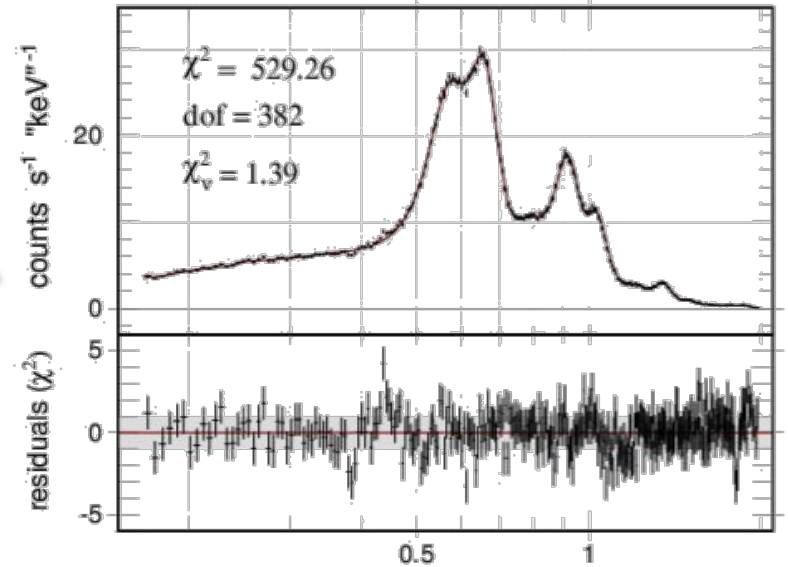
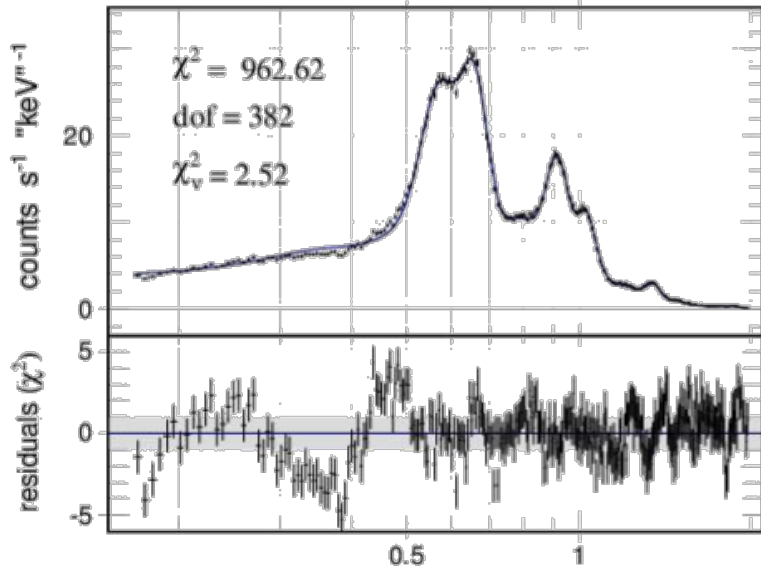
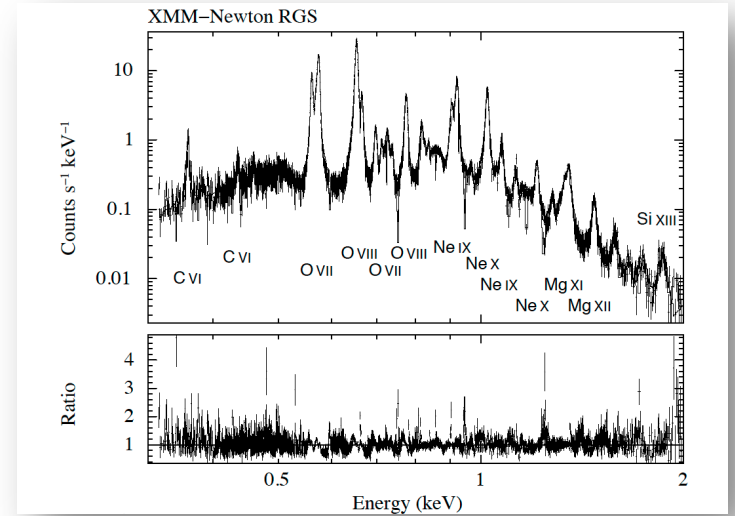


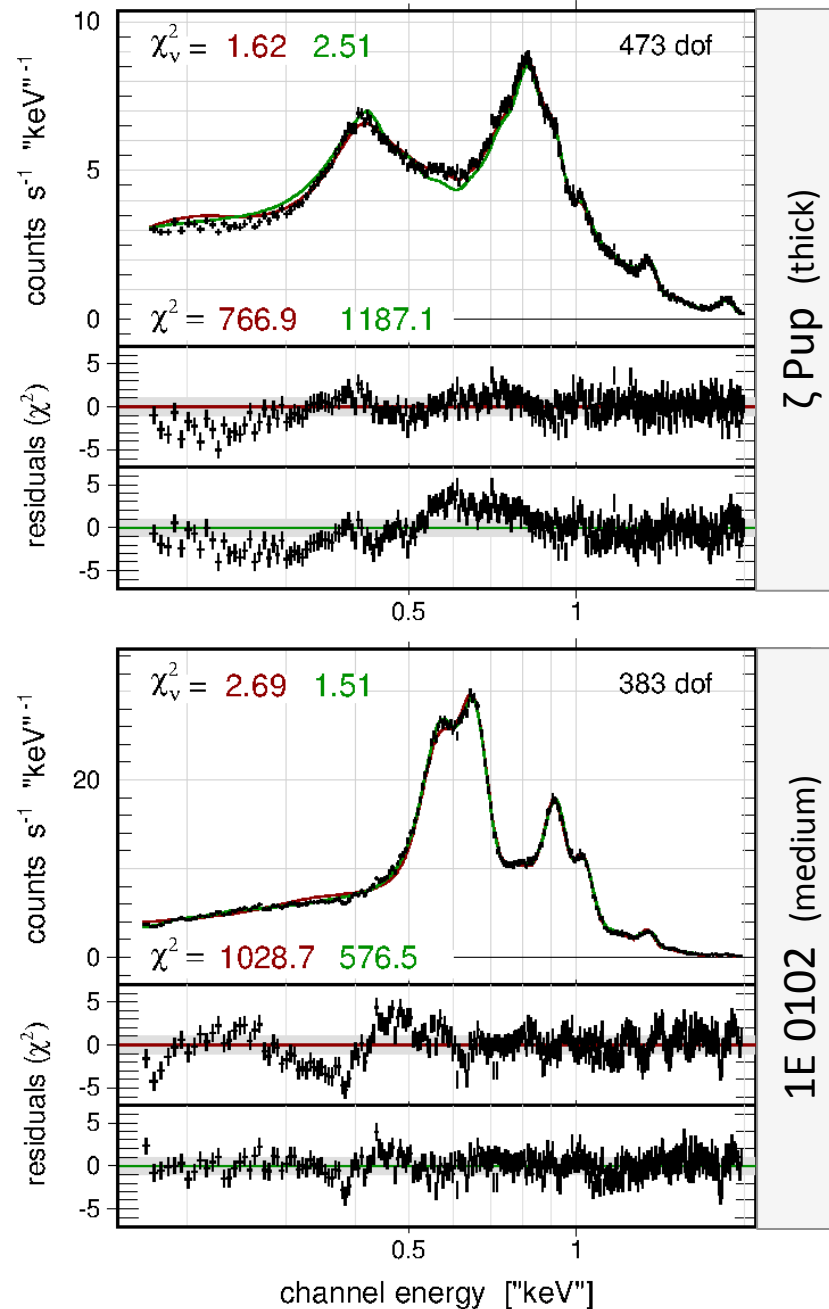
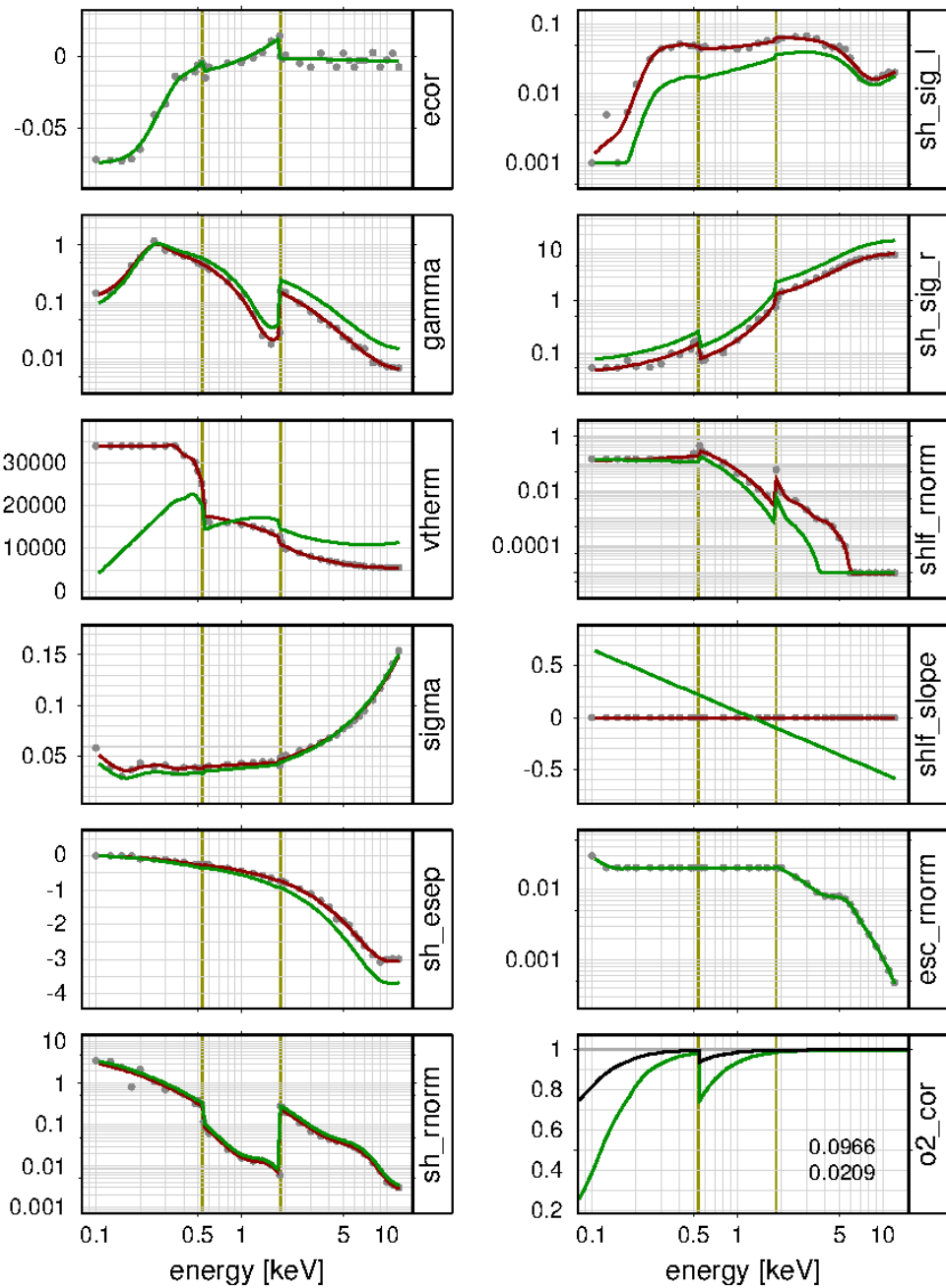


# Improving the EPIC pn RMF

SNR 1E 0102

proof of concept







**Interim result:  
algorithm works well,  
but resulting RMF depends  
heavily on assumed spectral models**

**Is there any possibility to expose the CCD  
to a known X-ray spectrum ?**

**Currently no, but for XMM/EPIC  
we can modify the incident spectrum  
in a controlled way!**

**There is a filter wheel..**

**Idea: observe the same**  
 (temporally constant, soft, non piled-up)  
**X-ray source(s) with all available filters**  
**and fit the spectra**  
**simultaneously with the same RMF**

**General strategy:**

- make this calibration by minimizing the number of filters used
  - at (almost) the same time
  - at the same X-ray source
  - with the same RMF
- to make this calibration as accurate as possible (i.e. term changes), **repeat the filter sequence** immediately afterwards, e.g.
  - thick – medium – thin -- thick – medium – thin

XMM rev 2995: **RXJ 1856**, SW  
 18.0 ks thick – 9.3 ks medium – 6.2 ks thin  
 18.9 ks thick – 8.5 ks medium – 16.0 ks thick

XMM rev 3000: **1E 0102**, SW  
 30.5 ks thick – 12.5 ks medium – 12.5 ks thin  
 30.5 ks thick – 14.2 ks medium – 17.5 ks thick

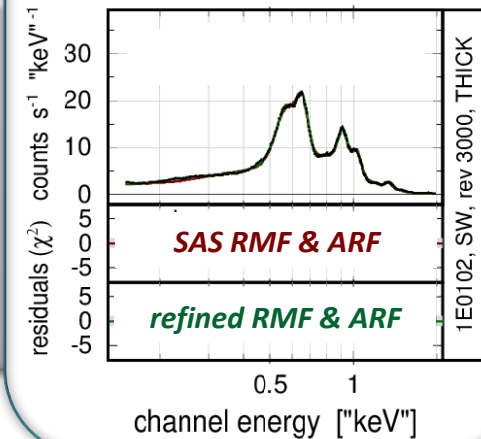
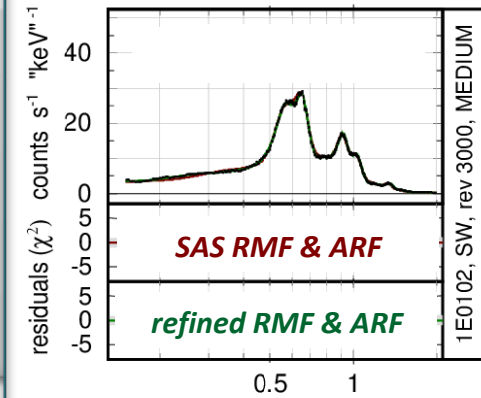
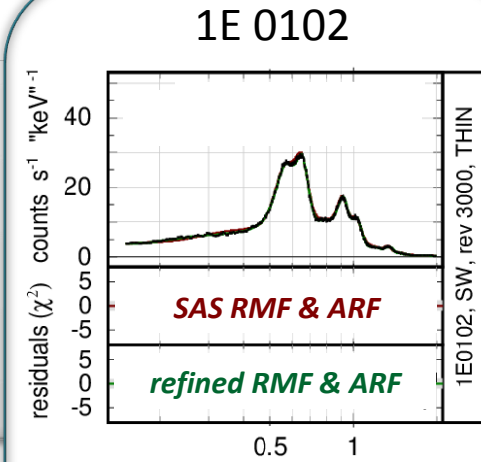
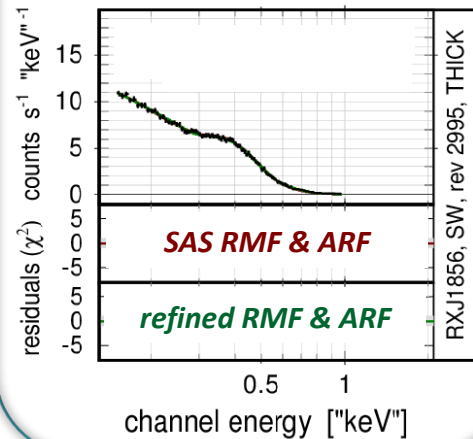
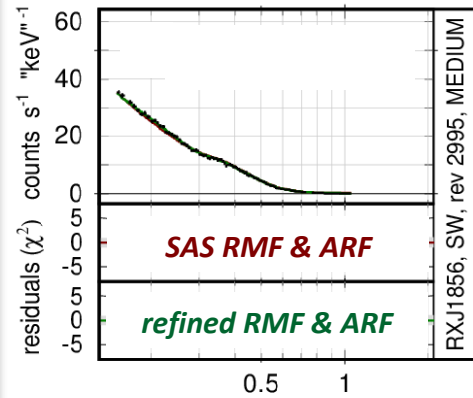
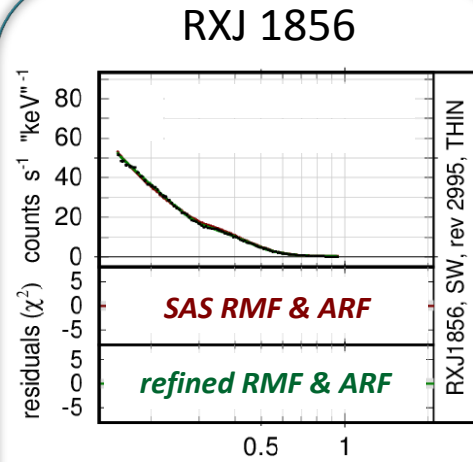
Simultaneous fit to  
RXJ 1856 and 1E0102  
in three filters each

using the same  
model spectrum  
for each source,  
with no normalization  
between the filters

and  
1 RMF + 3 ARFs



significant  
improvement  
possible !



Thin

Medium

Thick



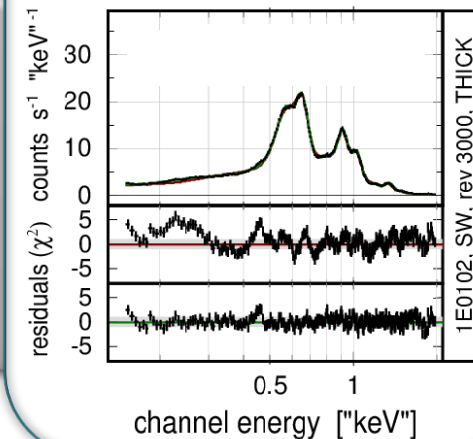
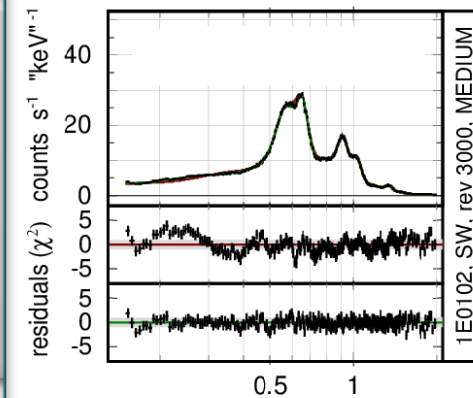
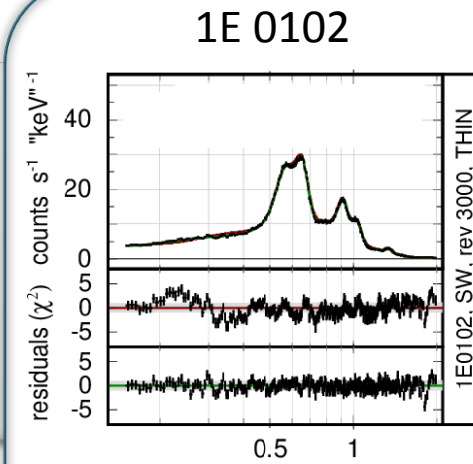
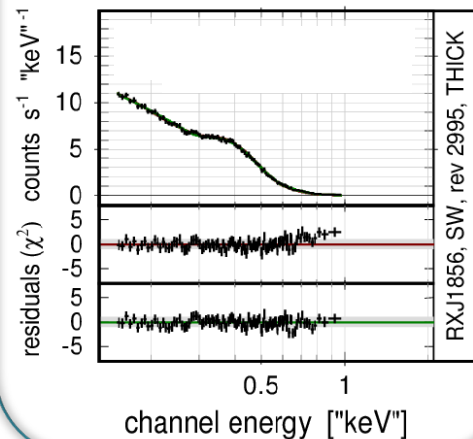
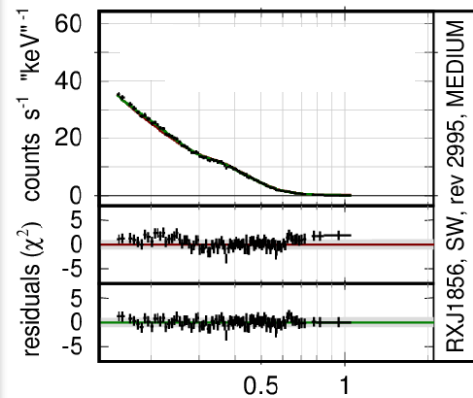
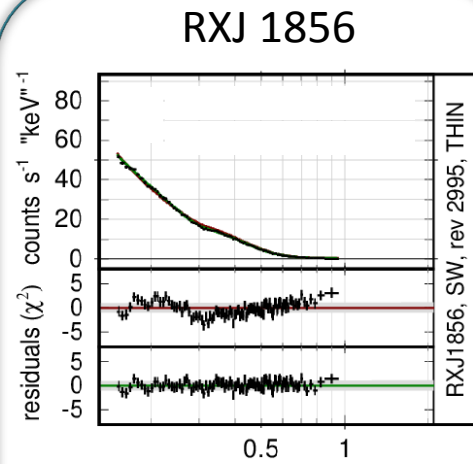
Simultaneous fit to  
RXJ 1856 and 1E0102  
in three filters each

using the same  
model spectrum  
for each source,  
with no normalization  
between the filters

and  
1 RMF + 3 ARFs



significant  
improvement  
possible !



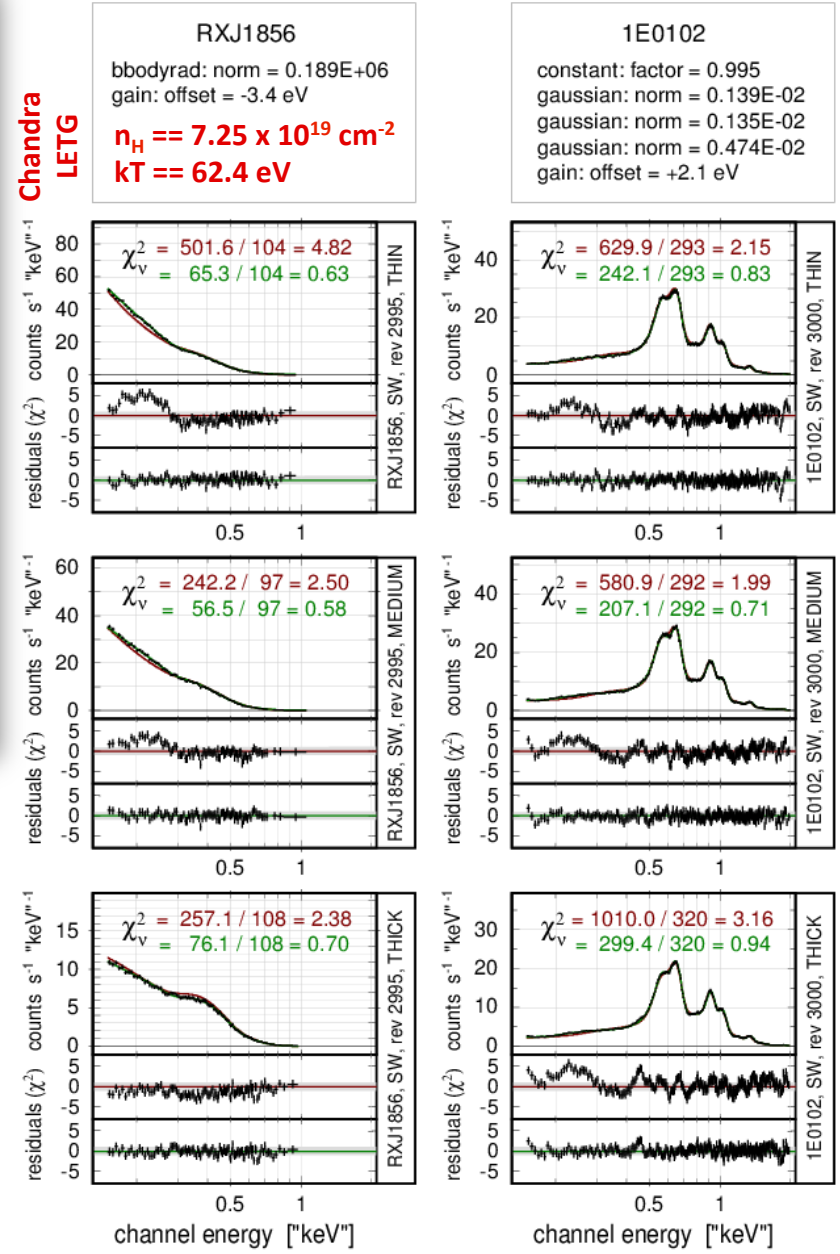
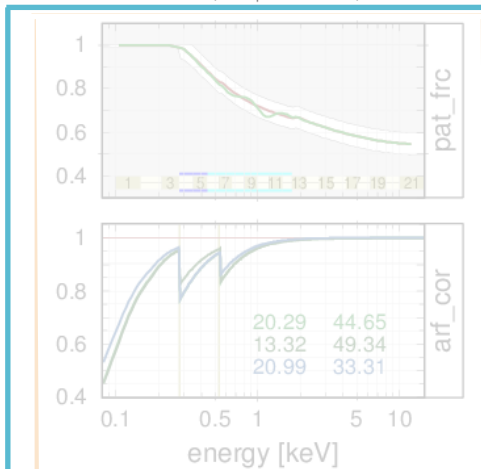
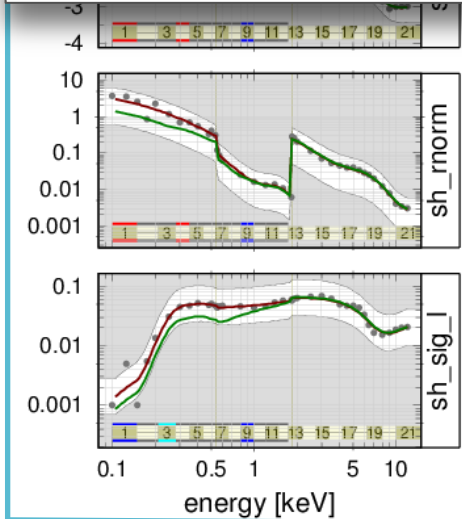
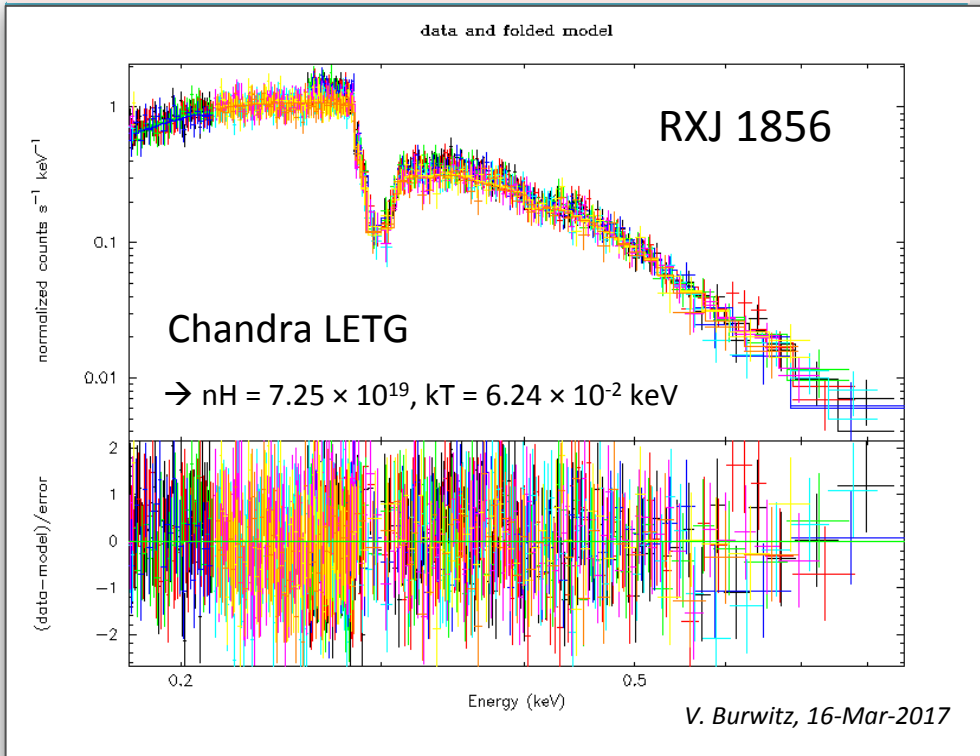
Thin

Medium

Thick

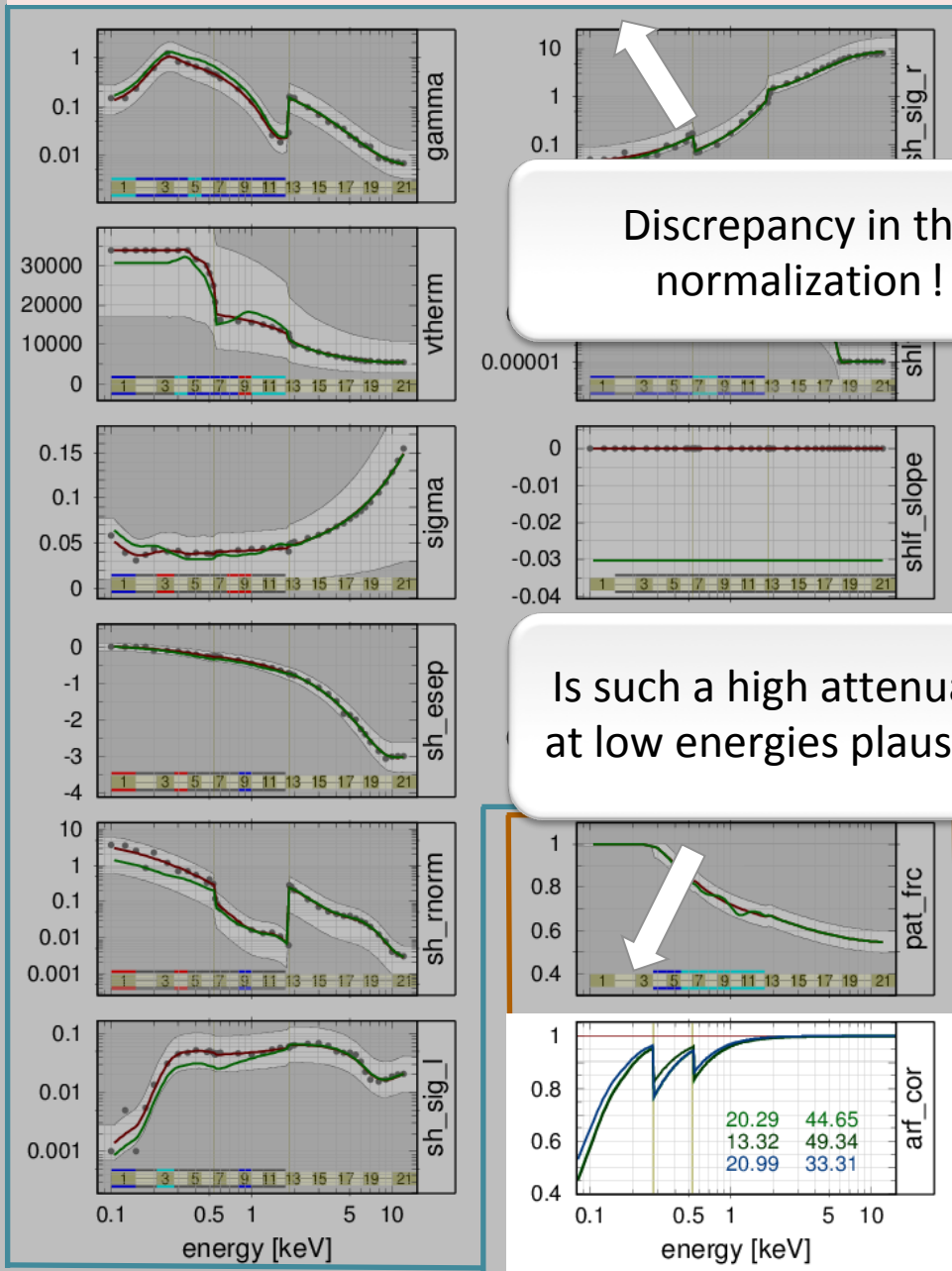
XMM/EPIC-pn: bbodyrad: norm =  $1.89 \times 10^5$   
 Chandra LETGS: bbodyrad: norm =  $1.58 \times 10^5$  } 20 %

# X-ray spectra: model, data, results



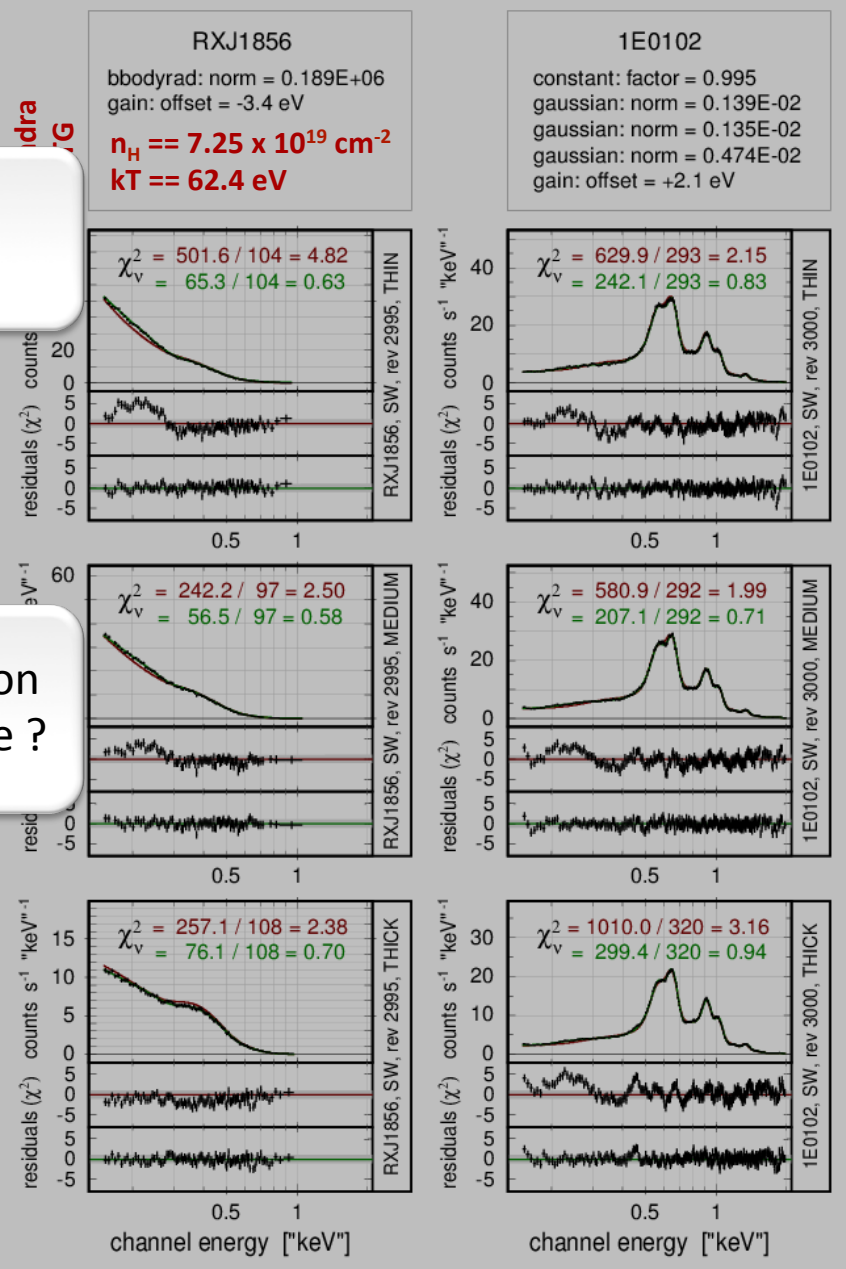
XMM/EPIC-pn: bbodyrad: norm =  $1.89 \times 10^5$   
 Chandra LETGS: bbodyrad: norm =  $1.58 \times 10^5$  } 20 %

# X-ray spectra: model, data, results



Discrepancy in the normalization!

Is such a high attenuation at low energies plausible?





# ARF components

## ARF („Ancillary Response File“)

= mirror effective area

\* filter transmission

\* CCD quantum efficiency

\* fraction of single pixel events

\* threshold induced sensitivity drop

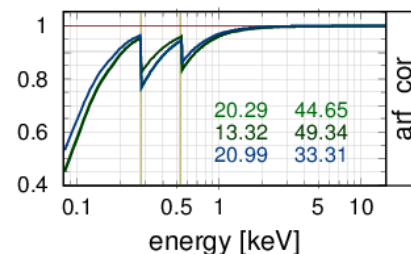
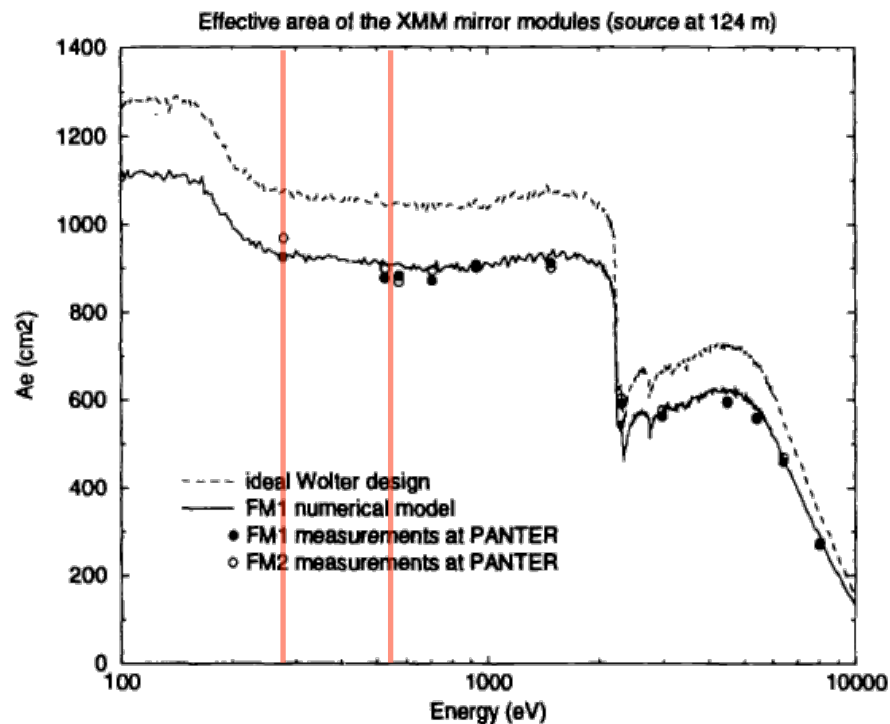
## Calibration of the first XMM Flight Mirror Module II - Effective Area

Ph. Gondoin<sup>a</sup>, B. Aschenbach<sup>b</sup>, M. Beijersbergen<sup>a</sup>, R. Egger<sup>b</sup>  
F. Jansen<sup>a</sup>, Y. Stockman<sup>c</sup>, J.P. Tock<sup>c</sup>

<sup>a</sup> European Space Research and Technology Center, 2200 AG Noordwijk zh, the Netherlands

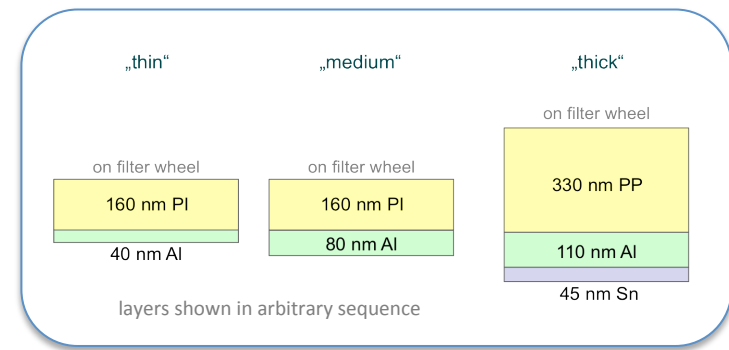
<sup>b</sup> Max-Planck Institute für Extraterrestrische Physik, 8046 Garching, Germany

<sup>c</sup> Centre Spatial de Liege, B-4031 Liege, Belgium



ARF change modeled by absorption from C and O

# ARF components



## ARF („Ancillary Response File“)

= mirror effective area

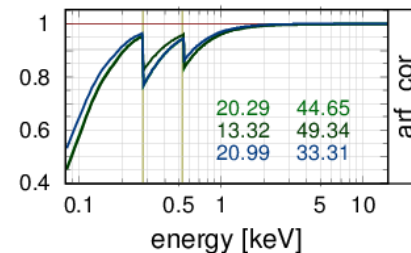
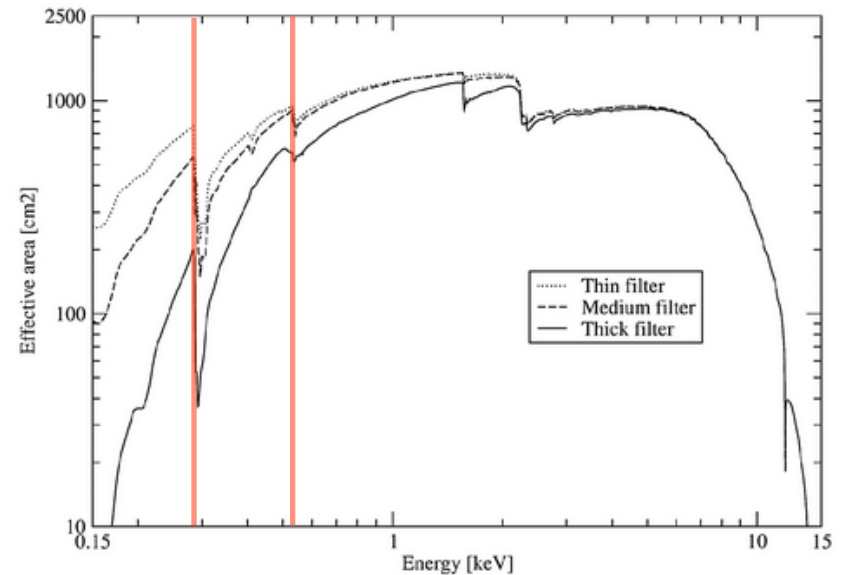
\* filter transmission

\* CCD quantum efficiency

\* fraction of single pixel events

\* threshold induced sensitivity drop

<https://www.cosmos.esa.int/web/xmm-newton/technical-details-epic>



ARF change modeled by absorption from C and O

# ARF components

## ARF („Ancillary Response File“)

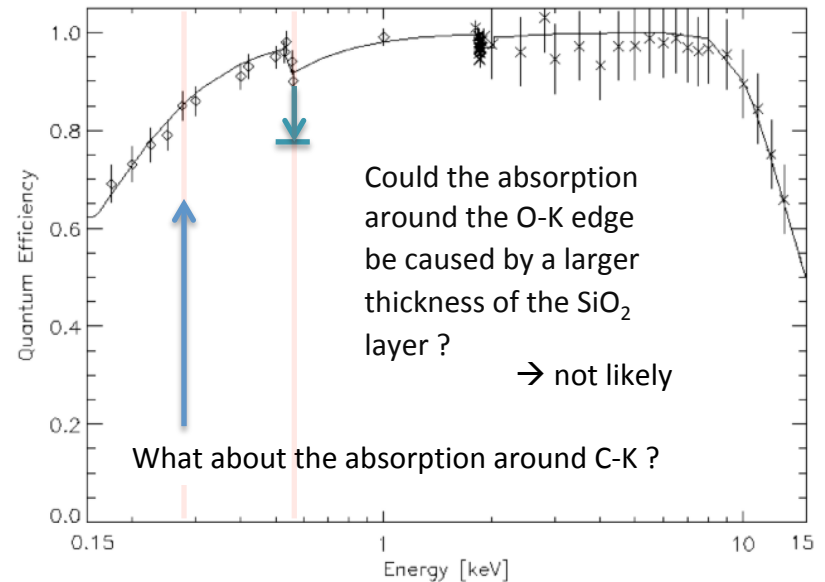
= mirror effective area

\* filter transmission

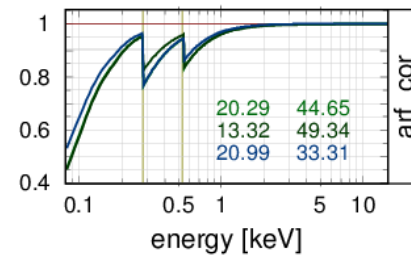
\* CCD quantum efficiency

\* fraction of single pixel events

\* threshold induced sensitivity drop



**Figure 28:** Quantum efficiency of the EPIC pn CCD chips as a function of photon energy ([Strüder et al., 2001, A&A, 365, L18, Fig. 5](#)).



ARF change modeled by absorption from C and O



# ARF components

ARF („Ancillary Response File“)

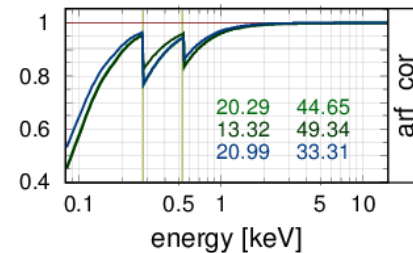
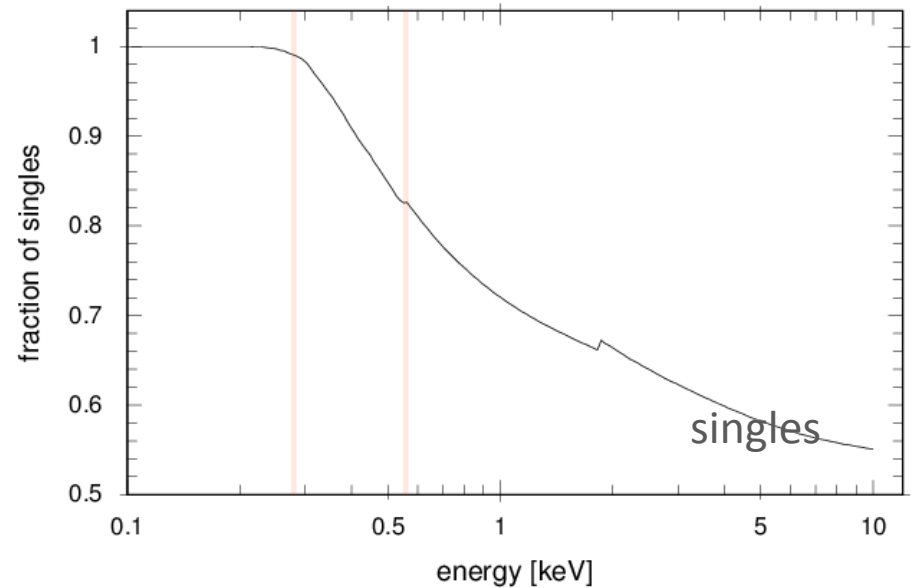
= mirror effective area

\* filter transmission

\* CCD quantum efficiency

\* fraction of single pixel events

\* threshold induced sensitivity drop



ARF change modeled by absorption from C and O

# ARF components

ARF („Ancillary Response File“)

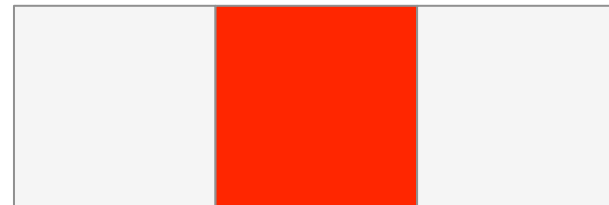
= mirror effective area

\* filter transmission

\* CCD quantum efficiency

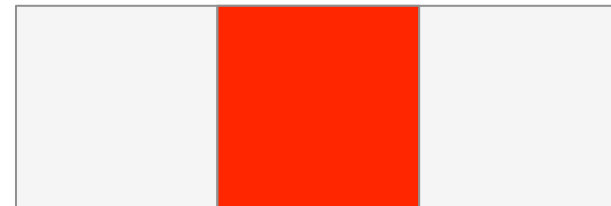
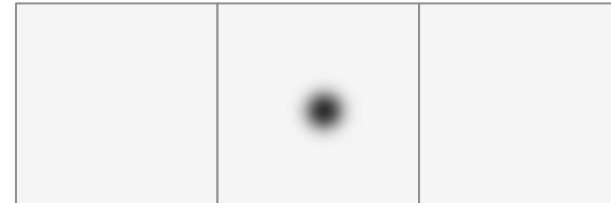
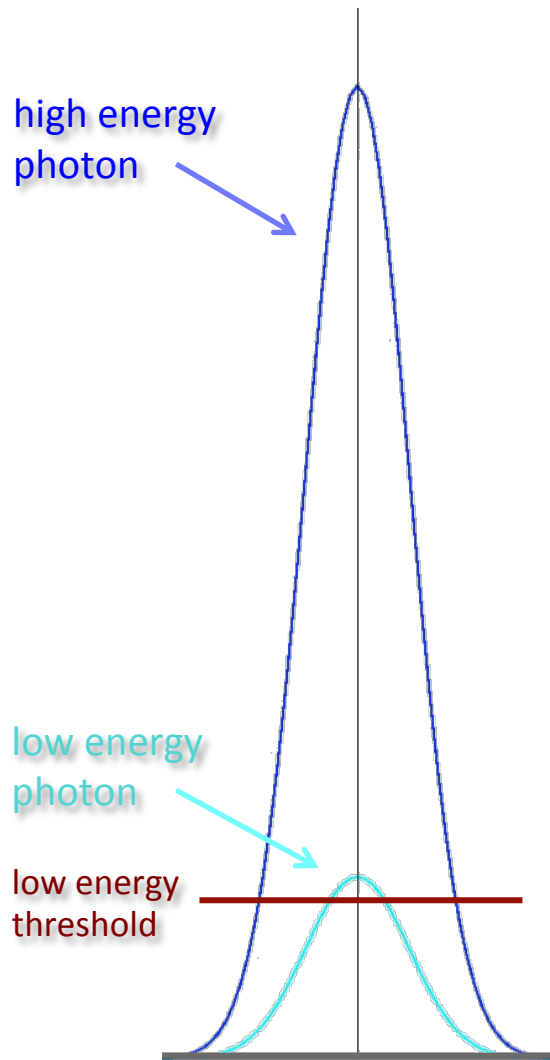
\* fraction of single pixel events

\* threshold induced sensitivity drop



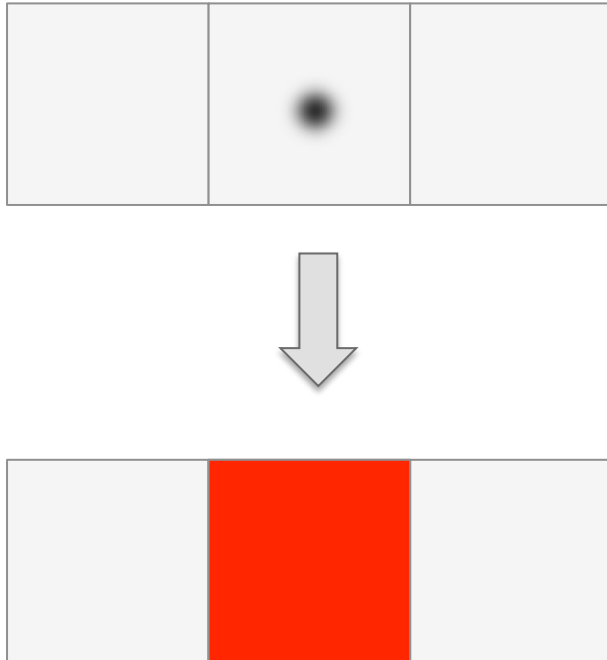
→ sensitivity should drop near low energy threshold

# Threshold induced sensitivity drop ?



→ sensitivity should drop near low energy threshold, **but not that much**

# Threshold induced sensitivity drop ?



→ sensitivity should drop near low energy threshold, **but not that much**

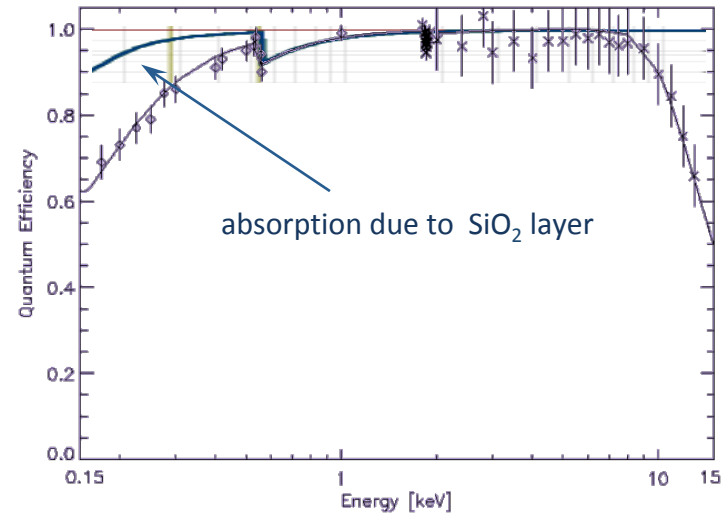


Figure 28: *Quantum efficiency of the EPIC pn CCD chips as a function of photon energy (Strider et al., 2001, A&A, 365, L18, Fig. 5).*

.. and in the QE determination, this effect should already have been implicitly considered

(approximately, depending on the low energy threshold used in the QE measurement)

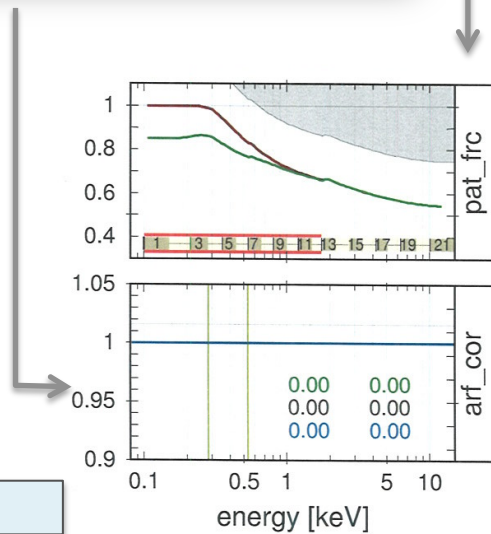
# How to modify the ARF ?

correction for threshold induced sensitivity drop		
0.10 – 0.28 keV free	0.28 – 1.74 keV free	1.74 – 16.0 keV fixed

filter dependent ARF correction	correction for carbon thickness	correction for oxygen thickness
thin	= 0 (fixed)	= 0 (fixed)
medium	= 0 (fixed)	= 0 (fixed)
thick	= 0 (fixed)	= 0 (fixed)

reasonable fits

neither C nor O absorption

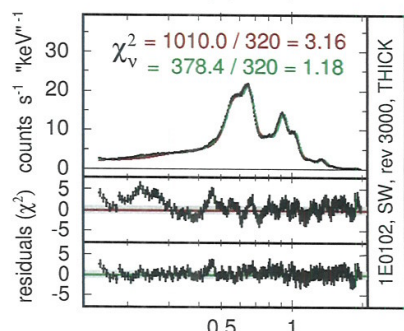
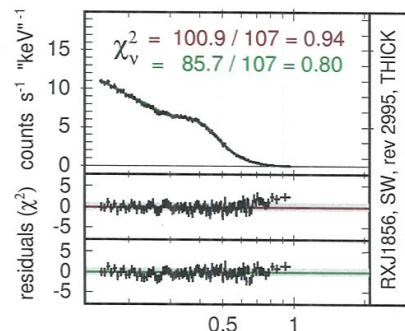
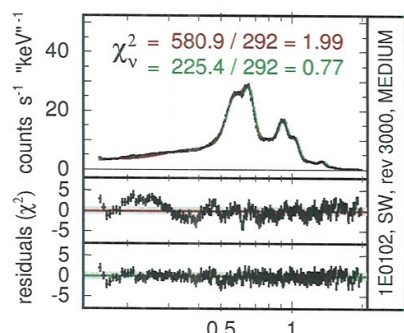
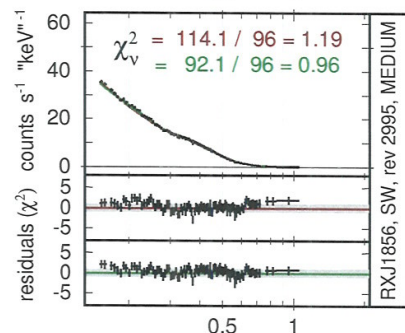
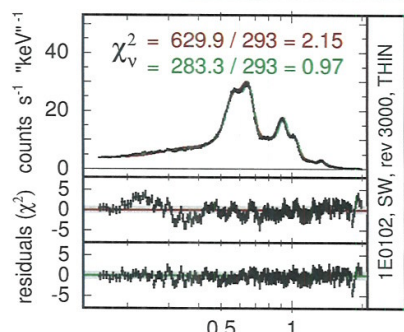
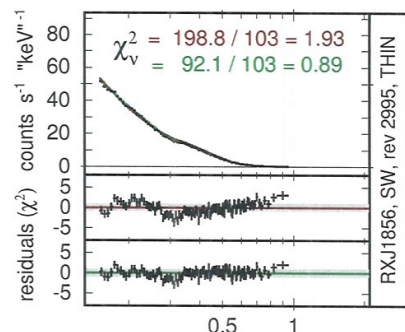


RXJ 1856

1E 0102

bbodyrad: kT = 0.061 keV  
bbodyrad: norm = 0.224E+06  
gain: offset = -2.3 eV  
 $n_H == 7 \times 10^{19} \text{ cm}^{-2}$

constant: factor = 0.976  
gaussian: norm = 0.133E-02  
gaussian: norm = 0.135E-02  
gaussian: norm = 0.443E-02  
gain: offset = +2.3 eV



thin

medium

thick

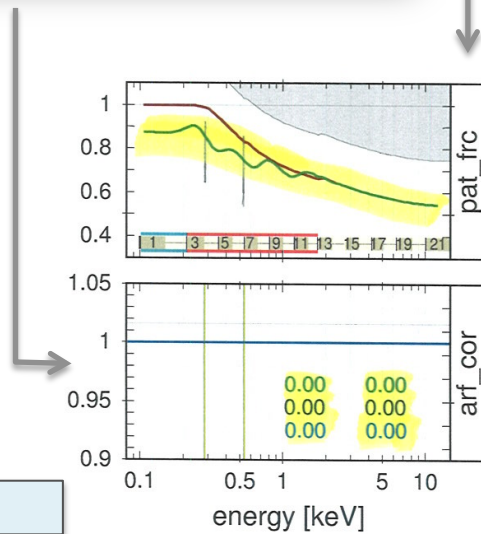


# How to modify the ARF ?

correction for threshold induced sensitivity drop		
0.10 – 0.28 keV free	0.28 – 1.74 keV free	1.74 – 16.0 keV fixed
low stiffness		
filter dependent ARF correction	correction for carbon thickness	correction for oxygen thickness
thin	= 0 (fixed)	= 0 (fixed)
medium	= 0 (fixed)	= 0 (fixed)
thick	= 0 (fixed)	= 0 (fixed)

better fits  
but pat\_frc  
too wavy

neither C nor O absorption

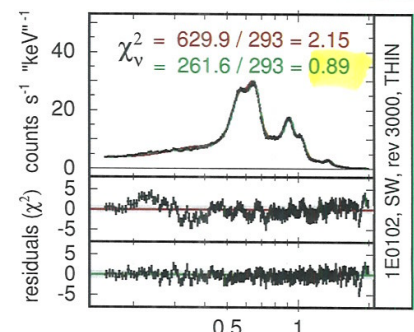
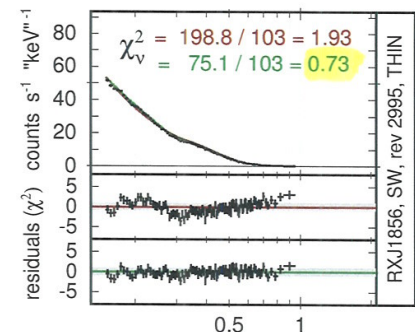


RXJ 1856

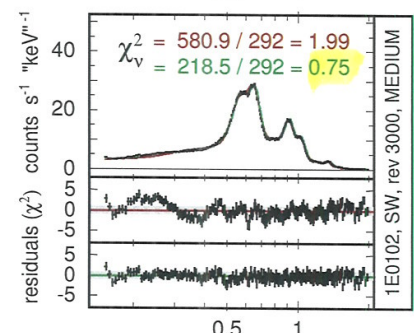
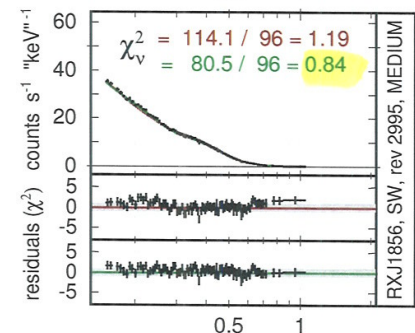
1E 0102

bbbodyrad: kT = 0.061 keV  
bbbodyrad: norm = 0.218E+06  
gain: offset = -1.7 eV  
 **$n_H == 7 \times 10^{19} \text{ cm}^{-2}$**

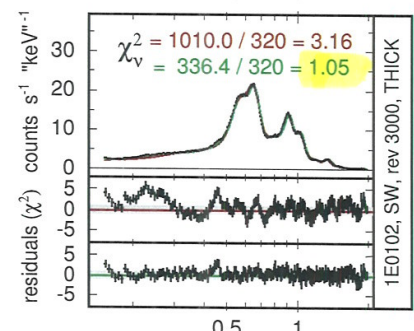
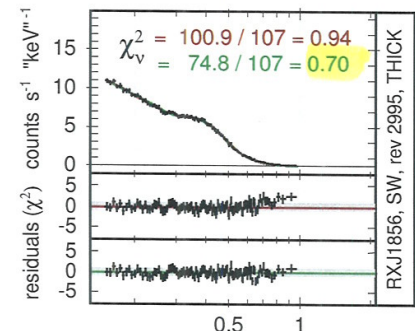
constant: factor = 0.968  
gaussian: norm = 0.140E-02  
gaussian: norm = 0.136E-02  
gaussian: norm = 0.470E-02  
gain: offset = +2.0 eV



thin



medium



thick

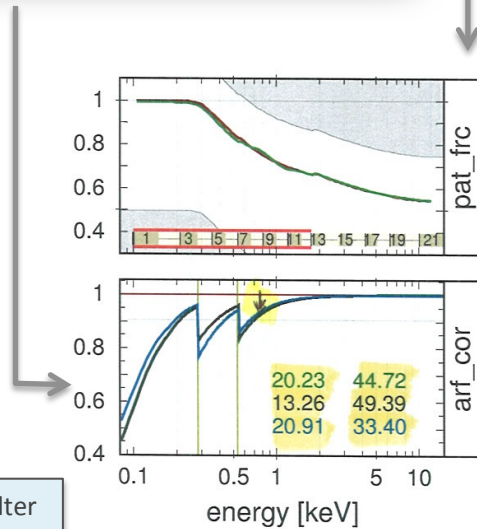
# How to modify the ARF ?

correction for threshold induced sensitivity drop		
0.10 – 0.28 keV free	0.28 – 1.74 keV free	1.74 – 16.0 keV fixed

filter dependent ARF correction	correction for carbon thickness	correction for oxygen thickness
thin	= 20.23 (fixed)	= 44.72 (fixed)
medium	= 13.26 (fixed)	= 49.39 (fixed)
thick	= 20.91 (fixed)	= 33.40 (fixed)

excellent fits,  
but high  
absorption  
required

ind. C + O absorption for each filter



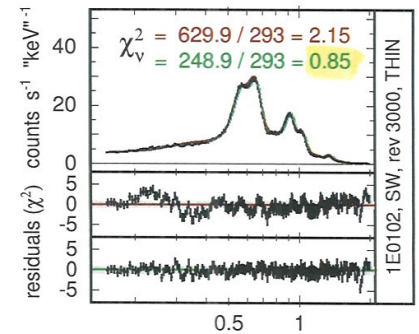
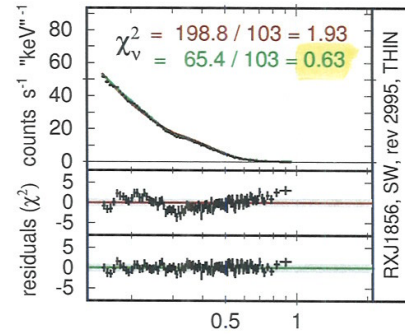
RXJ 1856

1E 0102

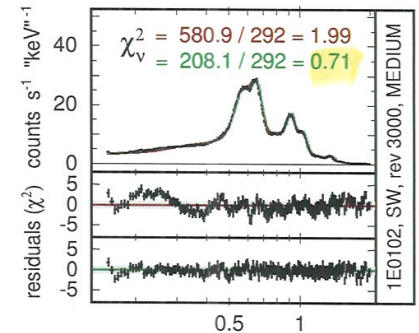
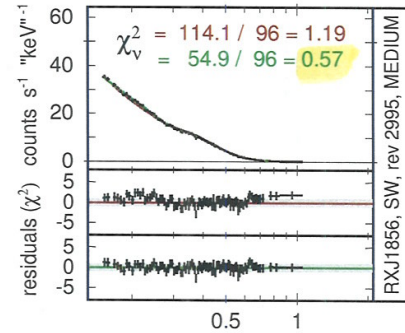
bbbodyrad: kT = 0.062 keV  
bbbodyrad: norm = 0.211E+06  
gain: offset = -1.9 eV

$$n_H == 7 \times 10^{19} \text{ cm}^{-2}$$

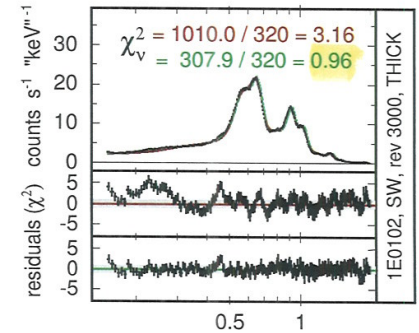
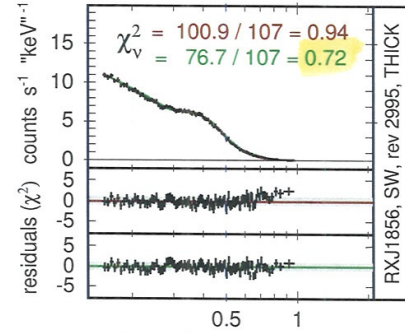
constant: factor = 0.997  
gaussian: norm = 0.135E-02  
gaussian: norm = 0.135E-02  
gaussian: norm = 0.468E-02  
gain: offset = +1.8 eV



thin



medium



thick

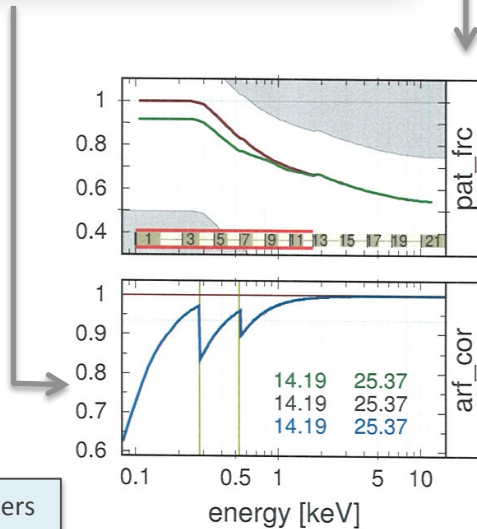
# How to modify the ARF ?

correction for threshold induced sensitivity drop		
0.10 – 0.28 keV free	0.28 – 1.74 keV free	1.74 – 16.0 keV fixed

filter dependent ARF correction	correction for carbon thickness	correction for oxygen thickness
thin	free	free
medium	= C_cor(thin)	= O_cor(thin)
thick	= C_cor(thin)	= O_cor(thin)

good fits  
but absorption  
quite high

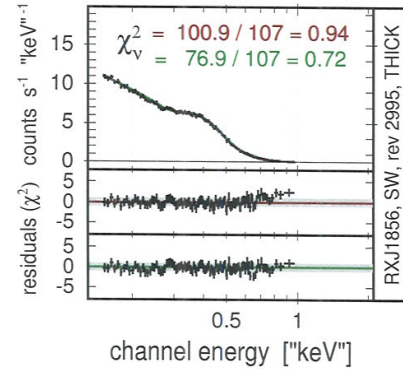
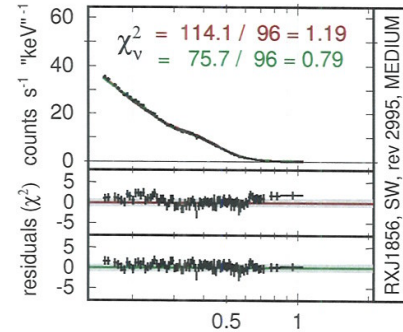
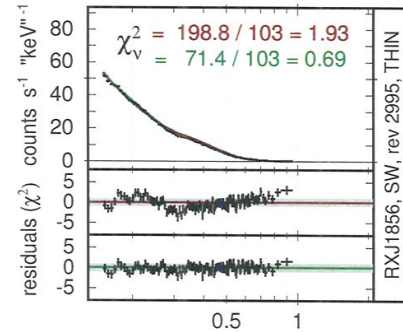
same C + O absorption for all filters



RXJ 1856

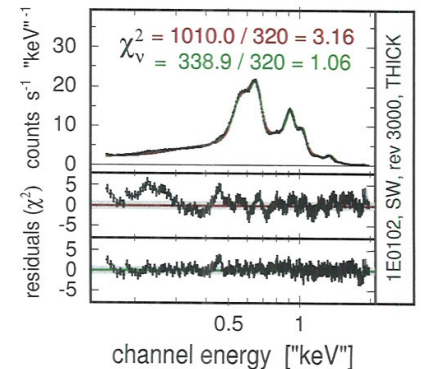
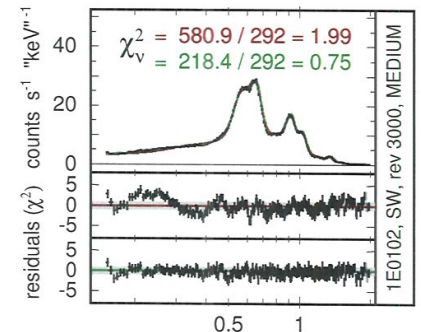
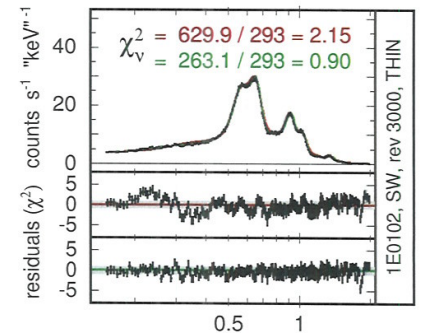
bbodyrad: kT = 0.061 keV  
bbodyrad: norm = 0.219E+06  
gain: offset = -1.2 eV

$$n_H == 7 \times 10^{19} \text{ cm}^{-2}$$



1E 0102

constant: factor = 0.997  
gaussian: norm = 0.138E-02  
gaussian: norm = 0.135E-02  
gaussian: norm = 0.473E-02  
gain: offset = +1.8 eV



thin

medium

thick



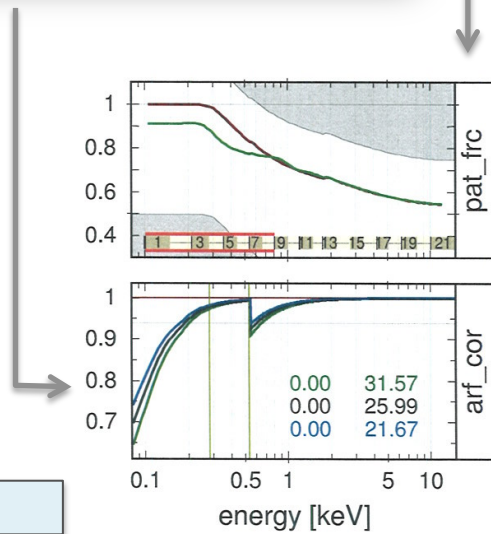
# How to modify the ARF ?

correction for threshold induced sensitivity drop		
0.10 – 0.80 keV free	0.80 – 1.74 keV fixed	1.74 – 16.0 keV fixed

filter dependent ARF correction	correction for carbon thickness	correction for oxygen thickness
thin	= 0 (fixed)	free
medium	= 0 (fixed)	free
thick	= 0 (fixed)	free

good fits,  
similar values  
for oxygen  
thickness

only absorption by oxygen

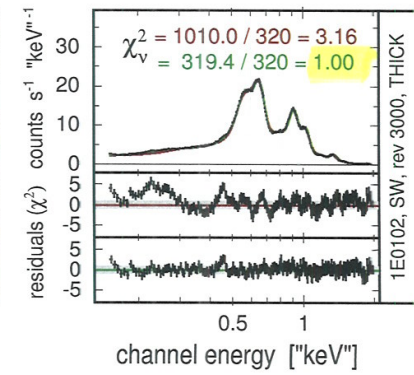
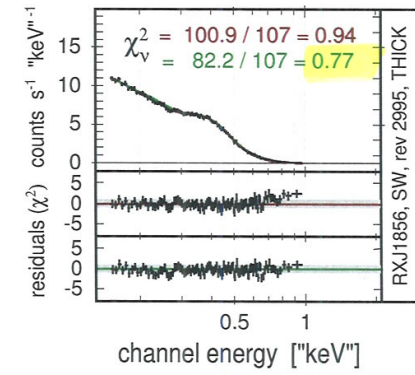
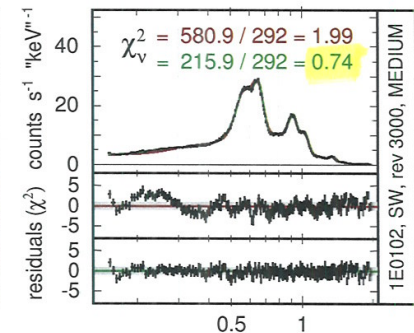
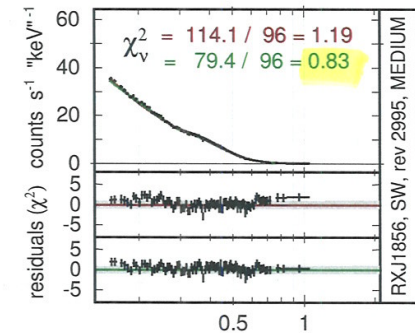
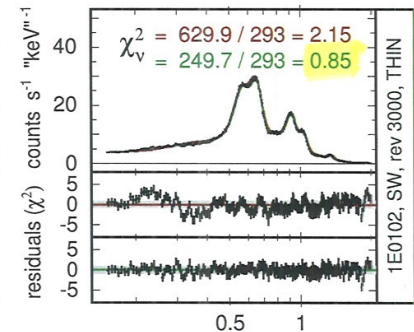
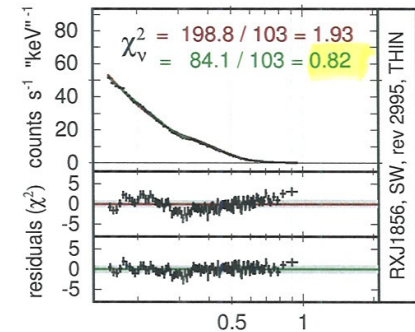


RXJ 1856

1E 0102

bbbodyrad: kT = 0.061 keV  
bbbodyrad: norm = 0.220E+06  
gain: offset = -1.6 eV  
 $n_H == 7 \times 10^{19} \text{ cm}^{-2}$

constant: factor = 0.975  
gaussian: norm = 0.133E-02  
gaussian: norm = 0.133E-02  
gaussian: norm = 0.464E-02  
gain: offset = +1.9 eV



thin

medium

thick

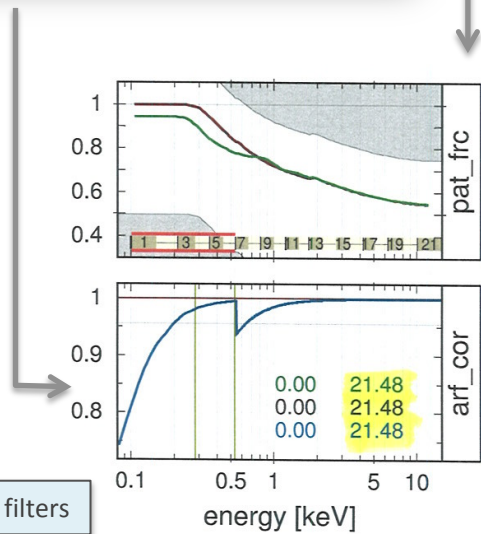
# How to modify the ARF ?

correction for threshold induced sensitivity drop		
0.10 – 0.53 keV free	0.53 – 1.74 keV fixed	1.74 – 16.0 keV fixed

filter dependent ARF correction	correction for carbon thickness	correction for oxygen thickness
thin	= 0 (fixed)	free
medium	= 0 (fixed)	= O_cor(thin)
thick	= 0 (fixed)	= O_cor(thin)

reasonable fits,  
only moderate  
absorption by O

same O absorption (only) for all filters

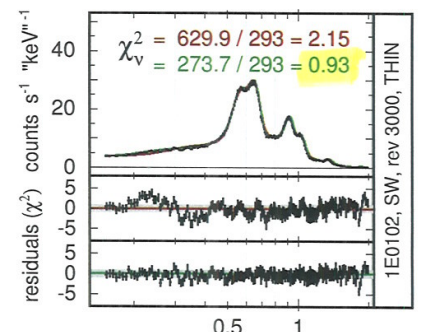
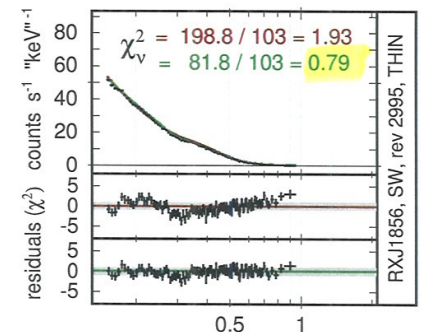


RXJ 1856

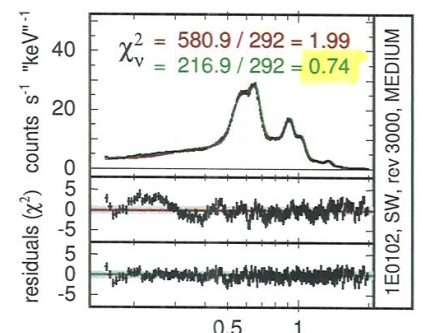
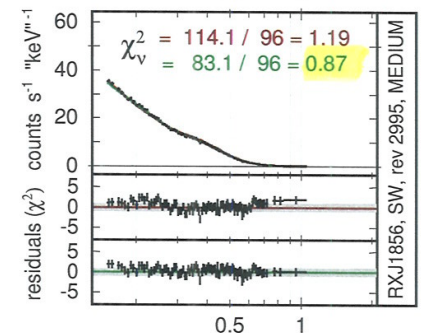
1E 0102

bbbodyrad: kT = 0.061 keV  
bbbodyrad: norm = 0.209E+06  
gain: offset = -2.1 eV  
 **$n_H == 7 \times 10^{19} \text{ cm}^{-2}$**

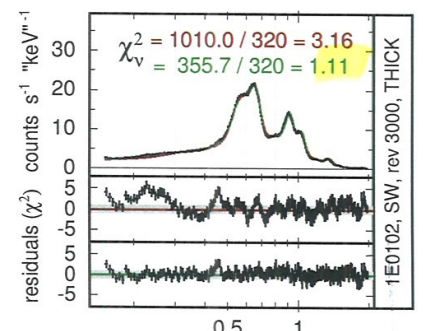
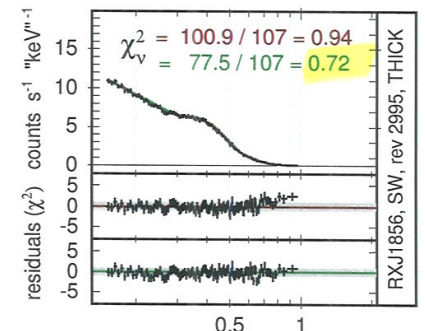
constant: factor = 0.970  
gaussian: norm = 0.133E-02  
gaussian: norm = 0.133E-02  
gaussian: norm = 0.462E-02  
gain: offset = +2.0 eV



thin



medium



thick



# Considering also the normalization

The fits with

- $n_{\text{H}} = 7.25 \times 10^{19} \text{ cm}^{-2}$
- $kT = 62.4 \text{ eV}$
- **norm = 178**

worked well

but Chandra LETG yields

- $n_{\text{H}} = 7.25 \times 10^{19} \text{ cm}^{-2}$
- $kT = 62.4 \text{ eV}$
- **norm = 158**

→ would **norm = 158** also work ?

In the following fits, the model spectrum for RXJ 1856  
is fixed to exactly the Chandra LETG values ..

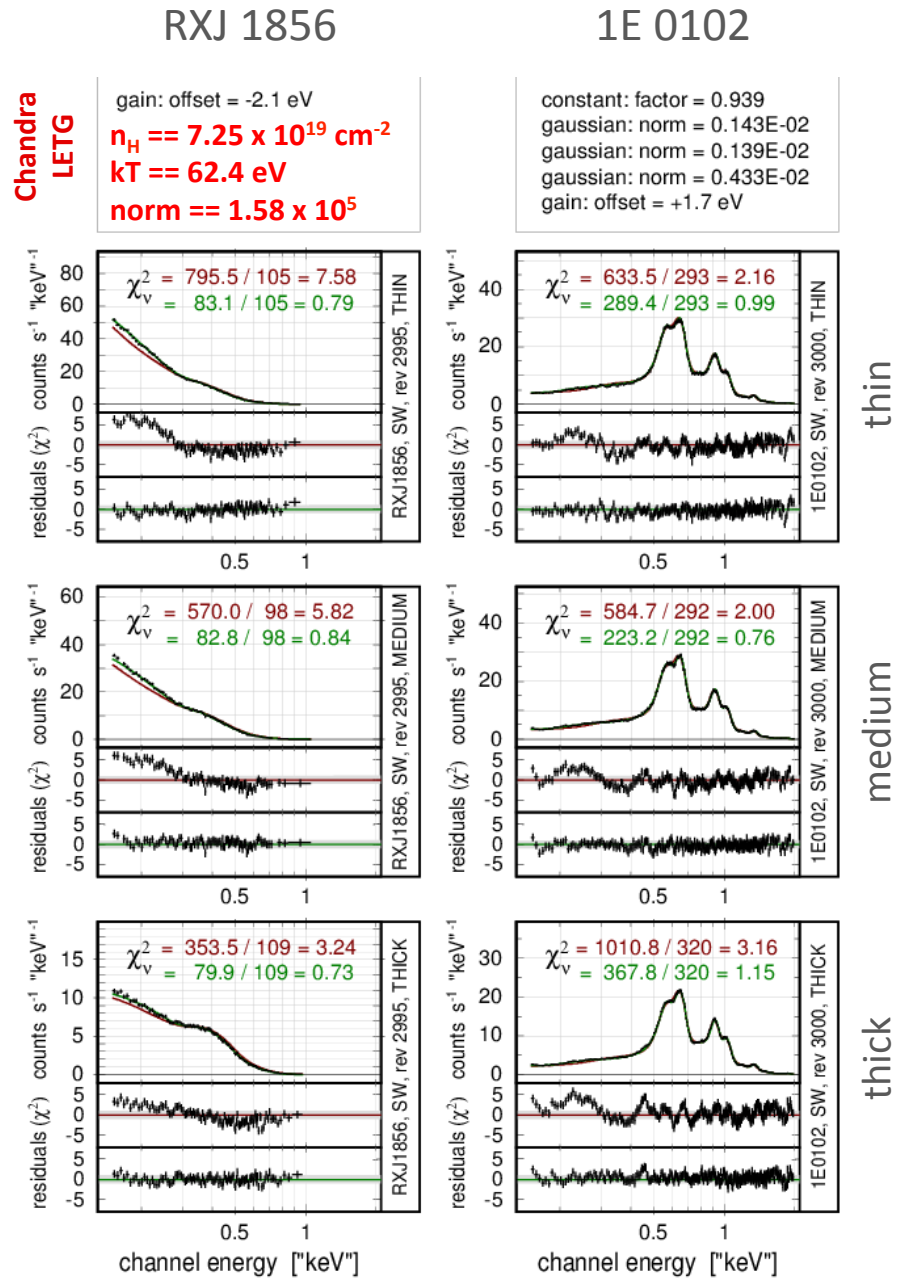
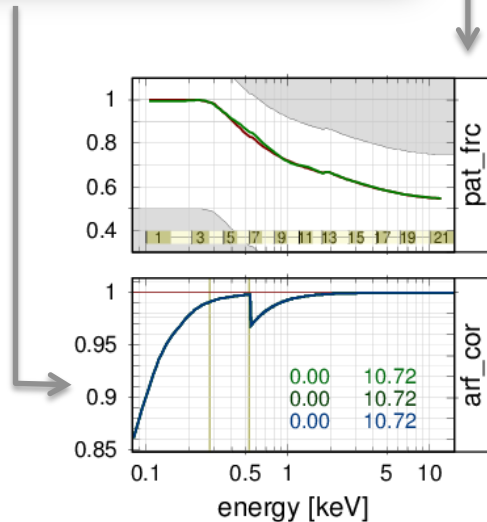
# How to modify the ARF ?

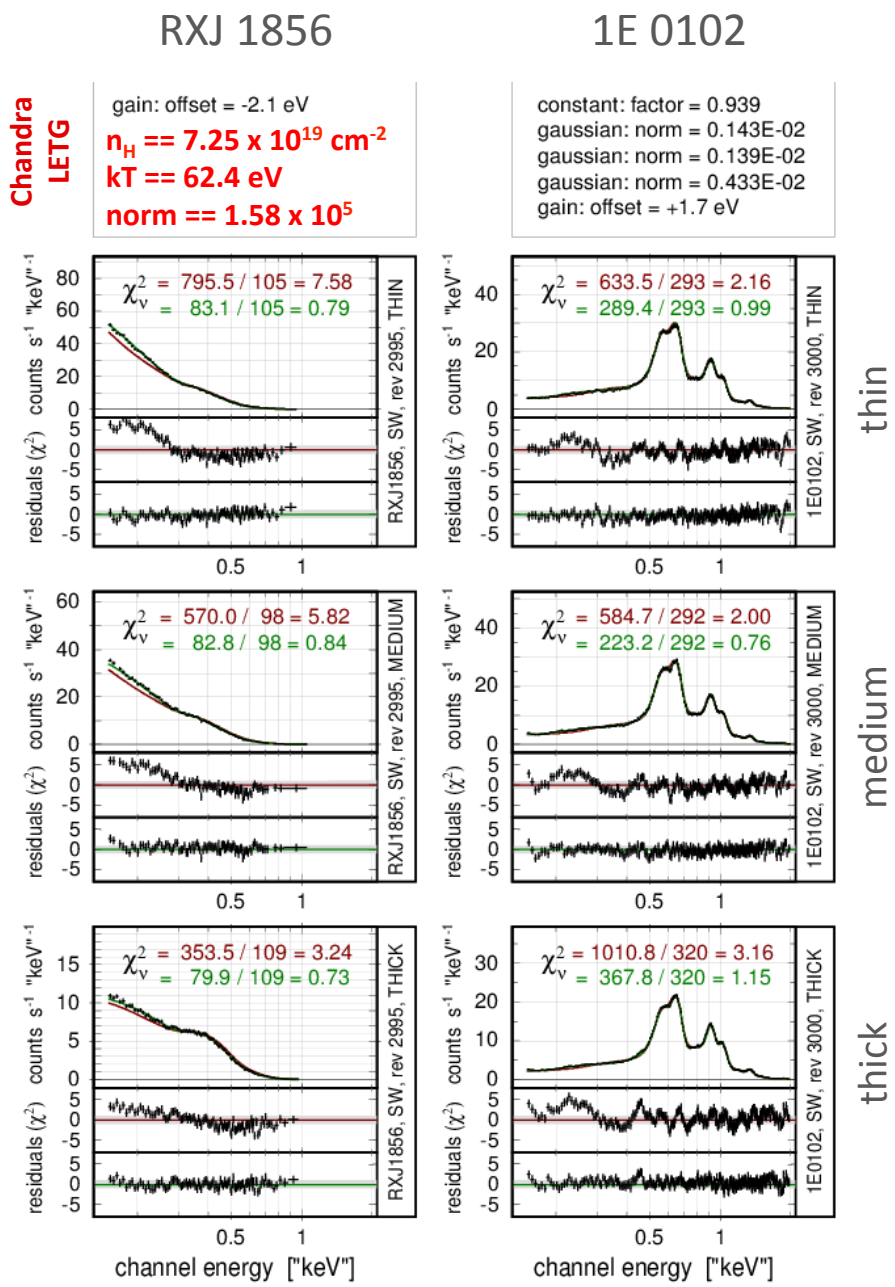
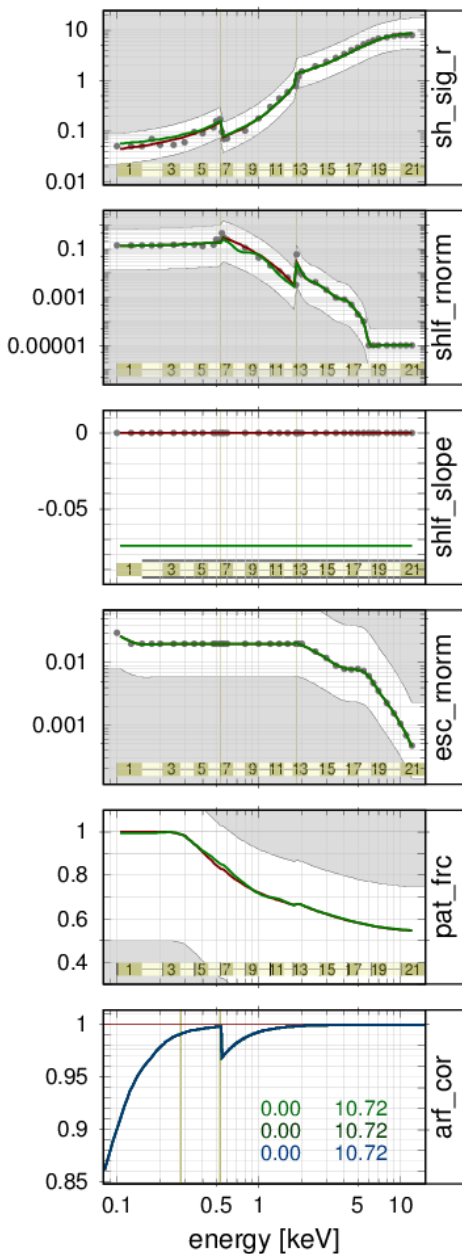
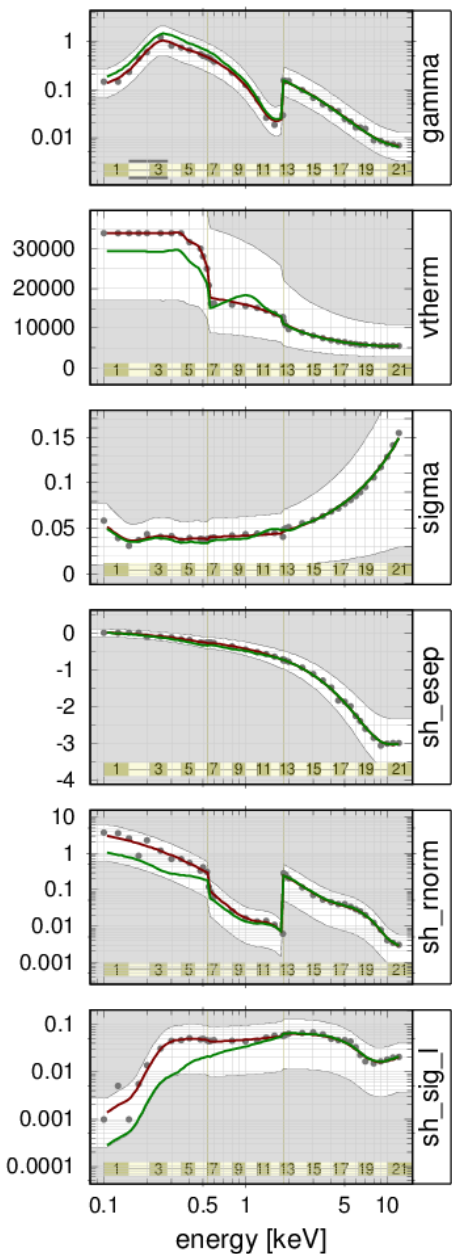
correction for threshold induced sensitivity drop		
0.10 – 0.28 keV free	0.28 – 1.74 keV free	1.74 – 16.0 keV fixed

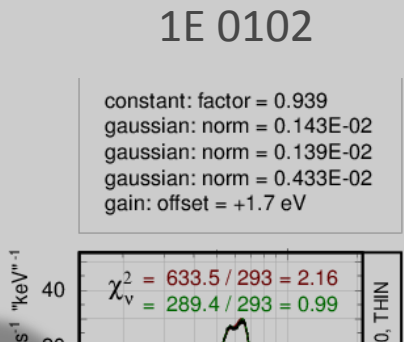
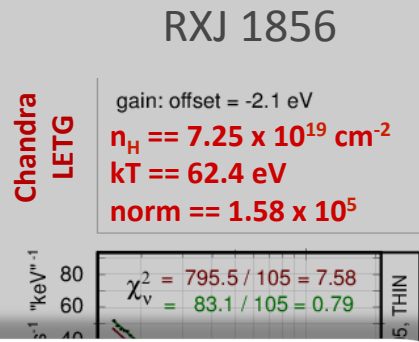
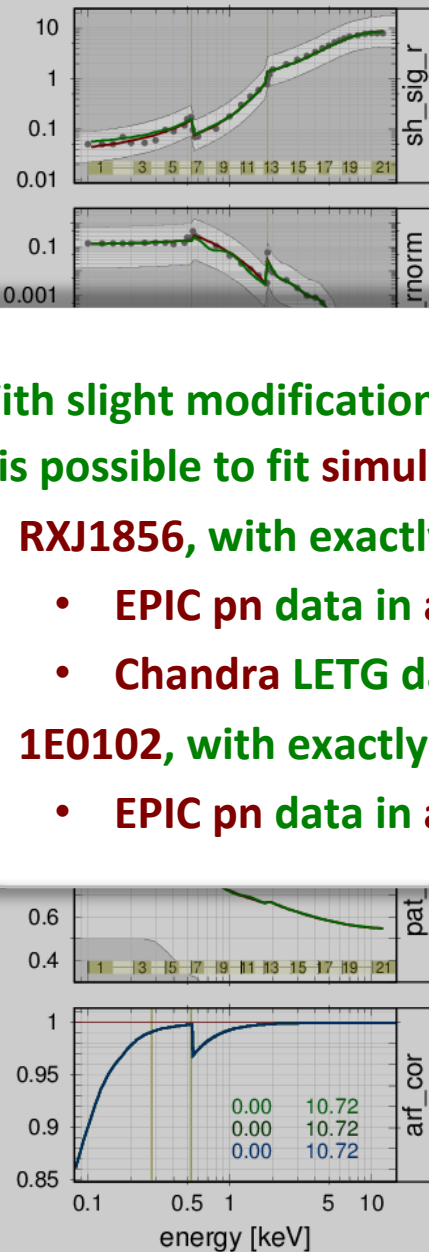
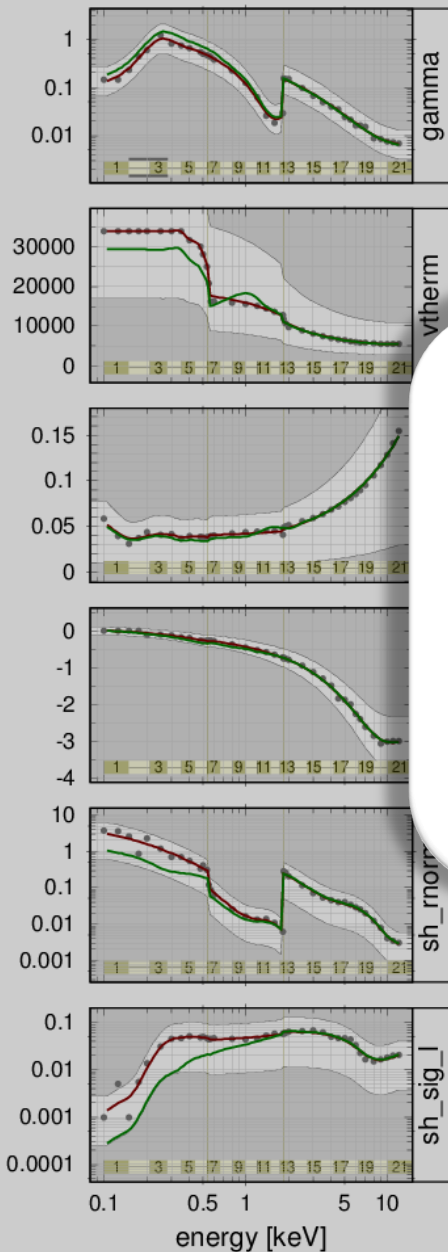
filter dependent ARF correction	correction for carbon thickness	correction for oxygen thickness
thin	= 0 (fixed)	free
medium	= 0 (fixed)	= O_cor(thin)
thick	= 0 (fixed)	= O_cor(thin)

↓

good fits (!)

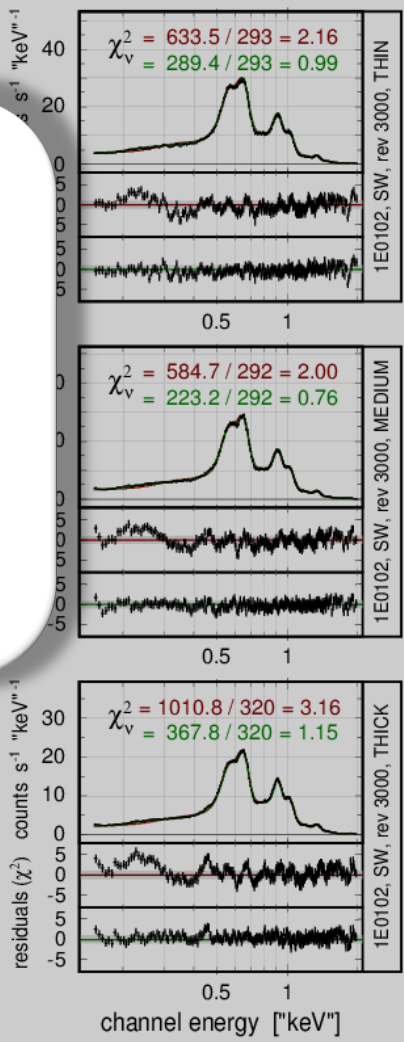
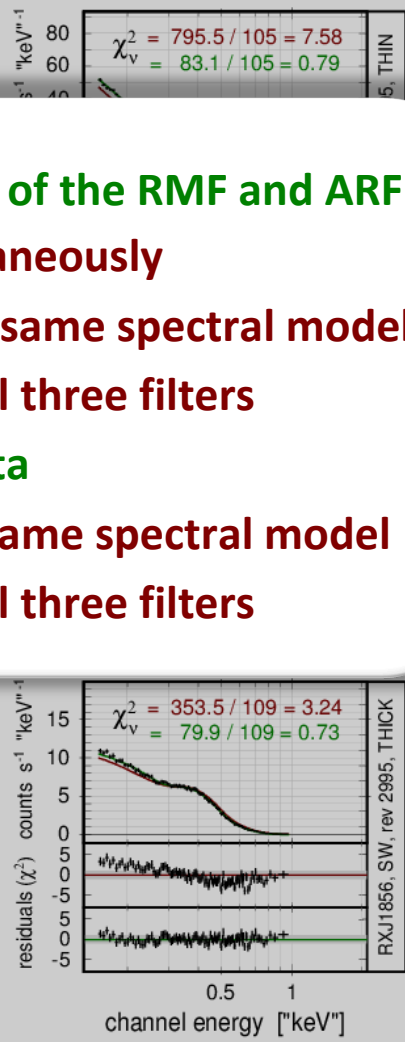






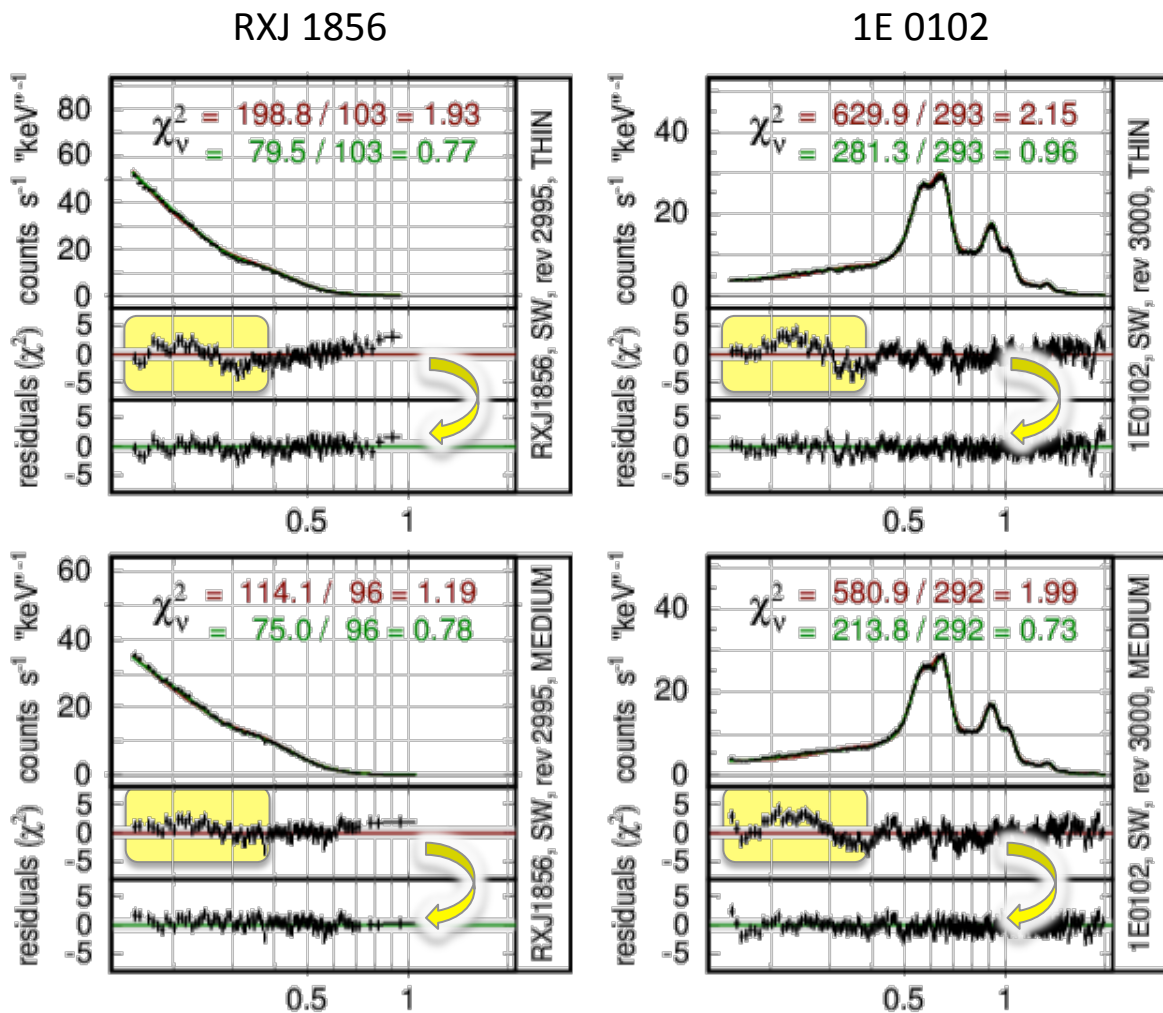
**With slight modifications of the RMF and ARF it is possible to fit simultaneously**

- RXJ1856, with exactly same spectral model**
  - EPIC pn data in all three filters**
  - Chandra LETG data**
- 1E0102, with exactly same spectral model**
  - EPIC pn data in all three filters**



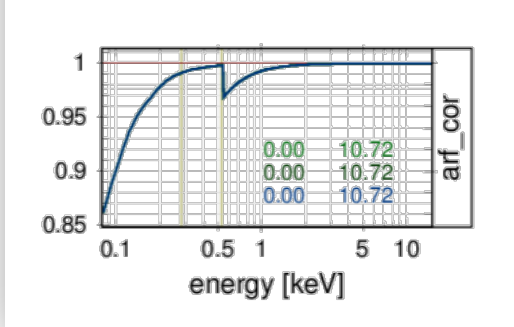
# Warning: ARF adjustments alone may be dangerous !

**Example:** XMM/EPIC-pn, simultaneous fit to RXJ 1856 and 1E0102 in three filters each, using the same model spectrum for each source, with no normalization between the filters



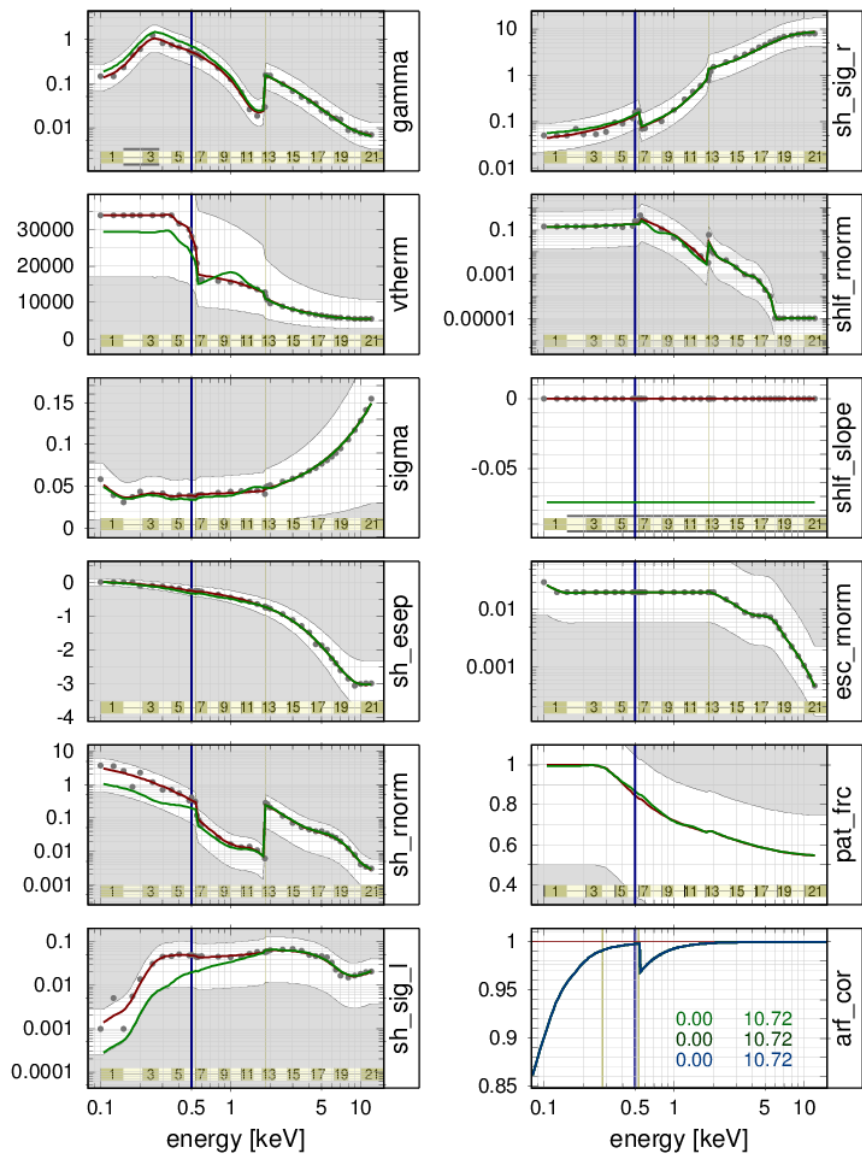
The apparent excess in residuals below 0.4 keV could be „repaired“ by **increasing the ARF** at low energies ..

Work on the RMF refinement, however, suggests to **increase the redistribution** and to **decrease the ARF** at low energies !



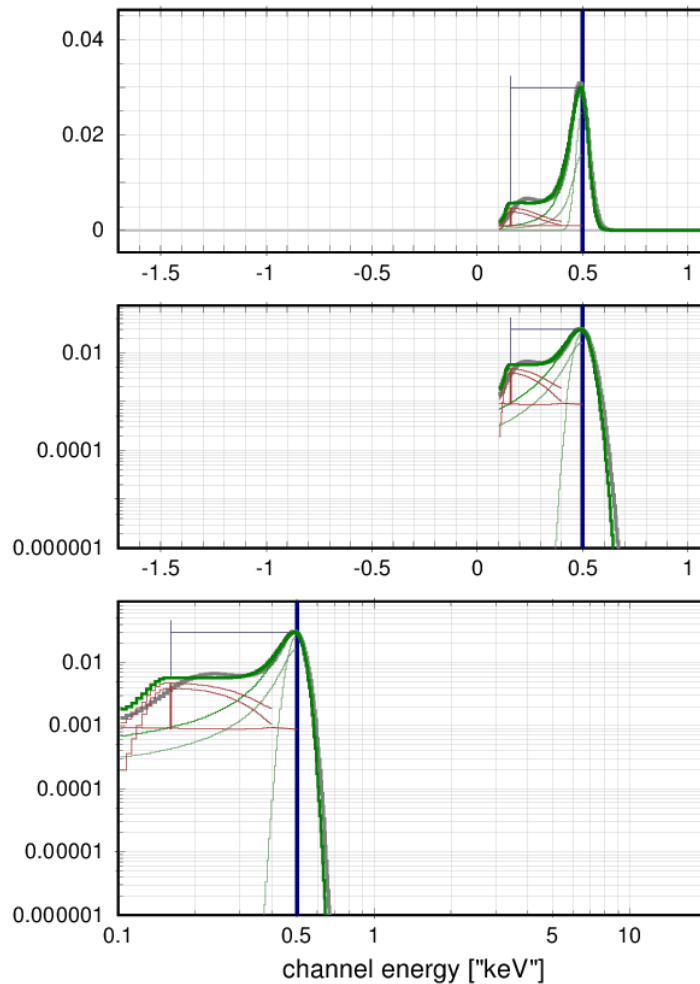


# What has changed in the RMF ?

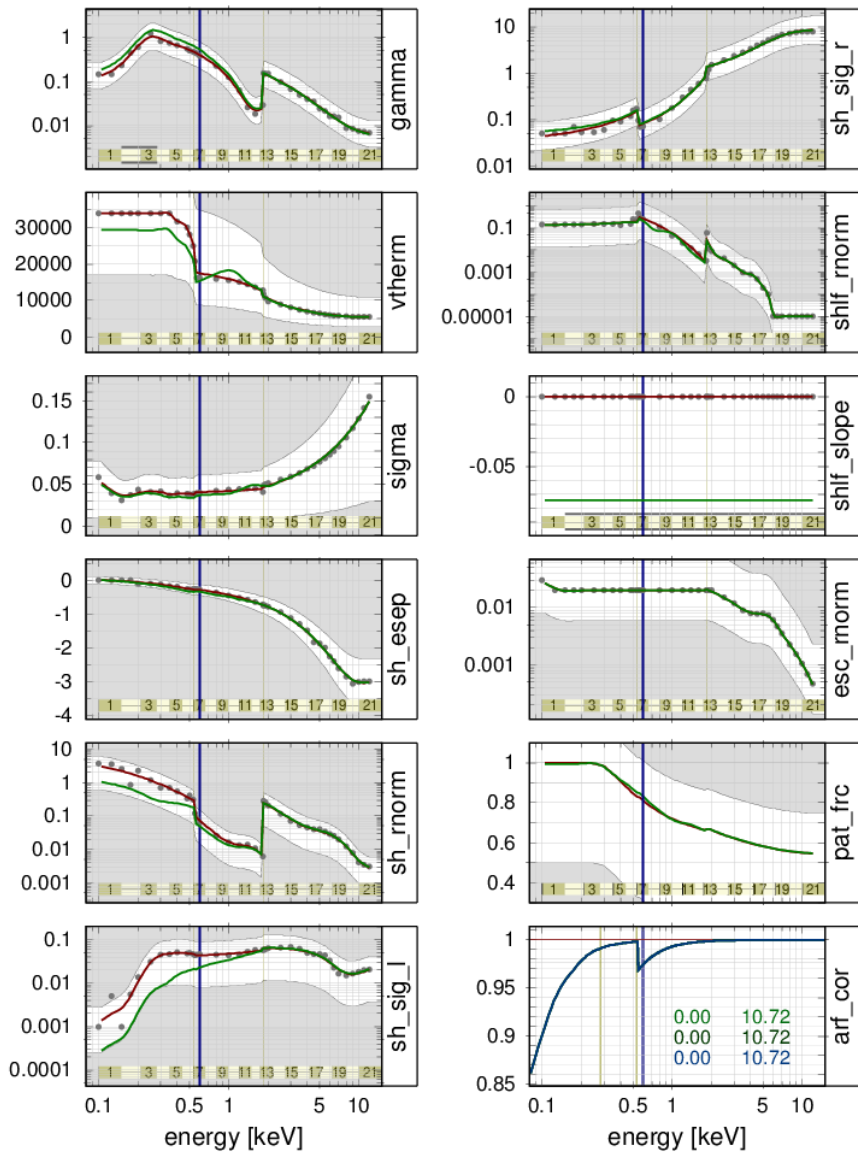


EPIC pn: RMF at E = 0.50 keV

gamma	= 0.513	--> 0.701	sh_sig_l	= 0.493E-01	--> 0.198E-01
vtherm	= 0.282E+05	--> 0.231E+05	sh_sig_r	= 0.136	--> 0.145
sigma	= 0.385E-01	--> 0.336E-01	shif_rnorm	= 0.190	--> 0.188
sh_esep	= -0.266	--> -0.339	shif_slope	= 0.00	--> -0.744E-01
sh_rnorm	= 0.339	--> 0.191			

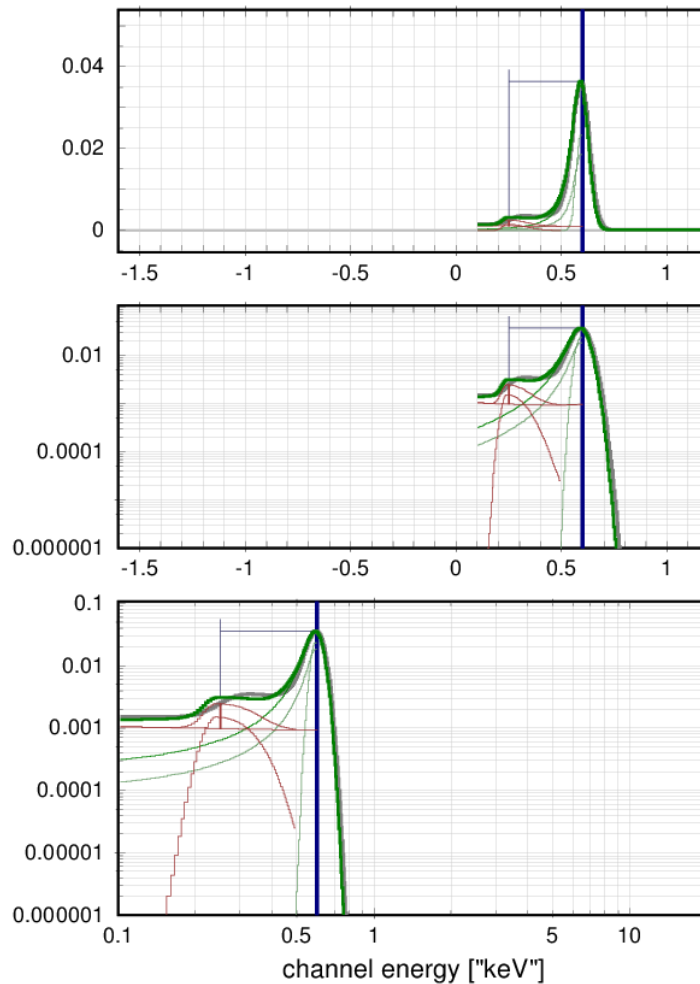


# What has changed in the RMF ?

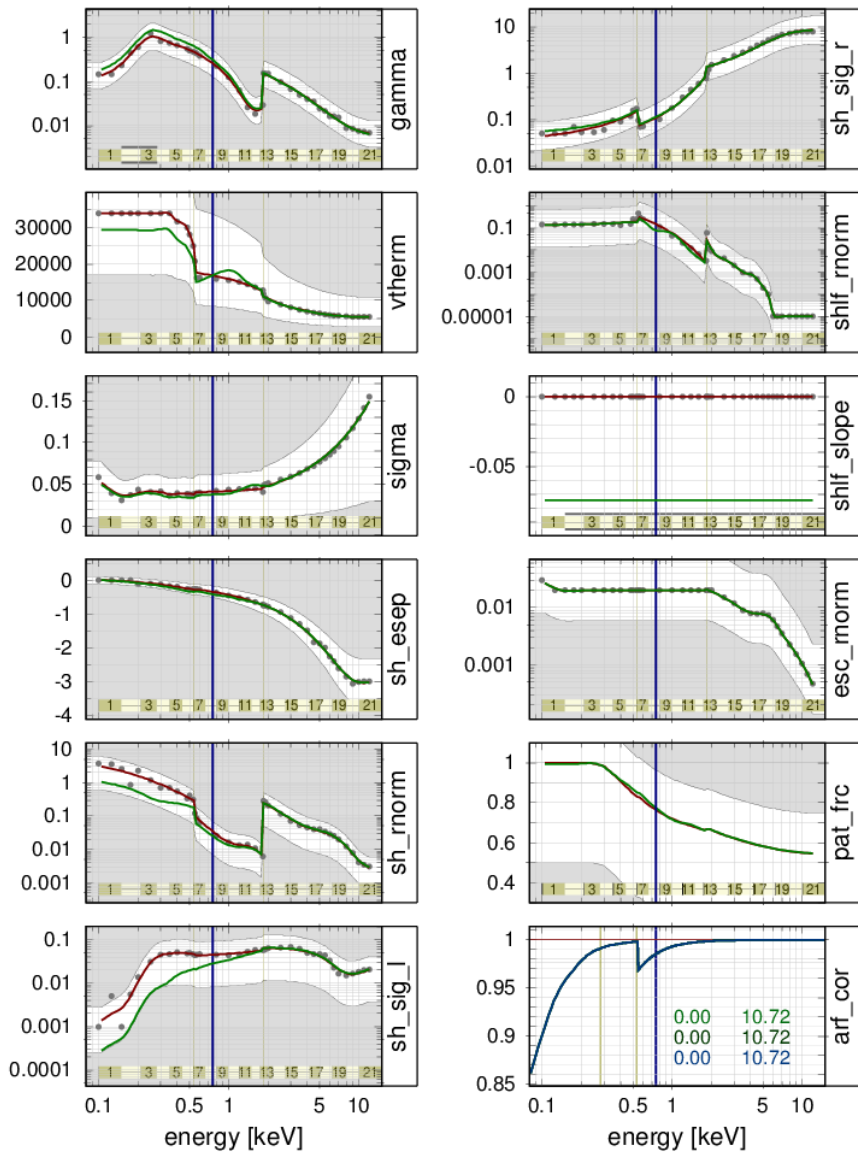


EPIC pn: RMF at E = 0.60 keV

gamma	= 0.394	--> 0.528	sh_sig_l	= 0.445E-01	--> 0.228E-01
vtherm	= 0.174E+05	--> 0.154E+05	sh_sig_r	= 0.823E-01	--> 0.854E-01
sigma	= 0.407E-01	--> 0.367E-01	shif_rnorm	= 0.257	--> 0.206
sh_esep	= -0.291	--> -0.348	shif_slope	= 0.00	--> -0.744E-01
sh_rnorm	= 0.727E-01	--> 0.473E-01			

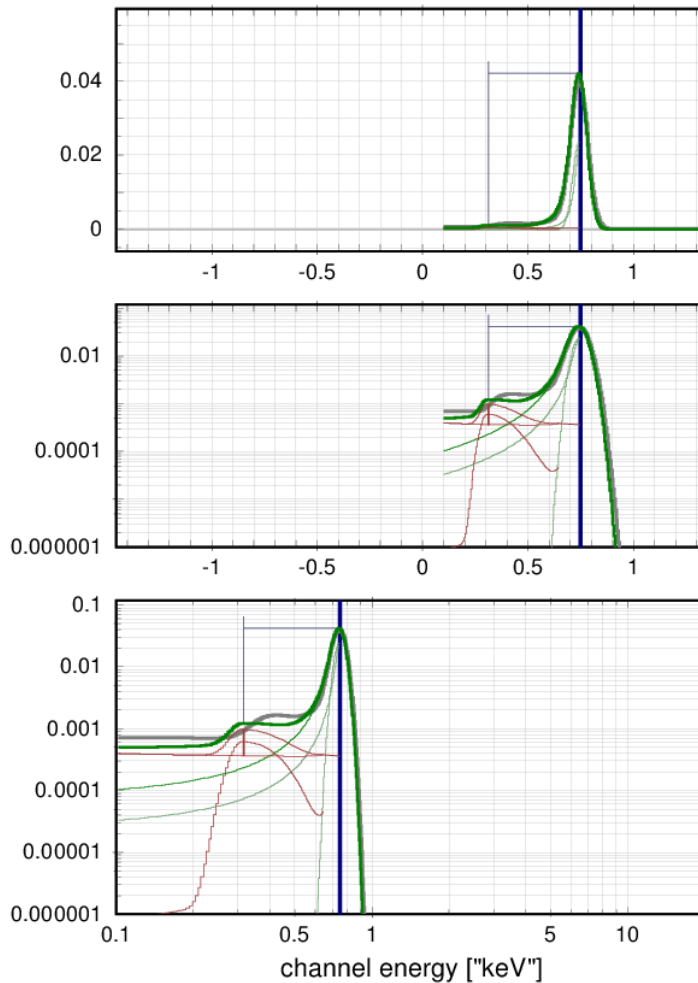


# What has changed in the RMF ?

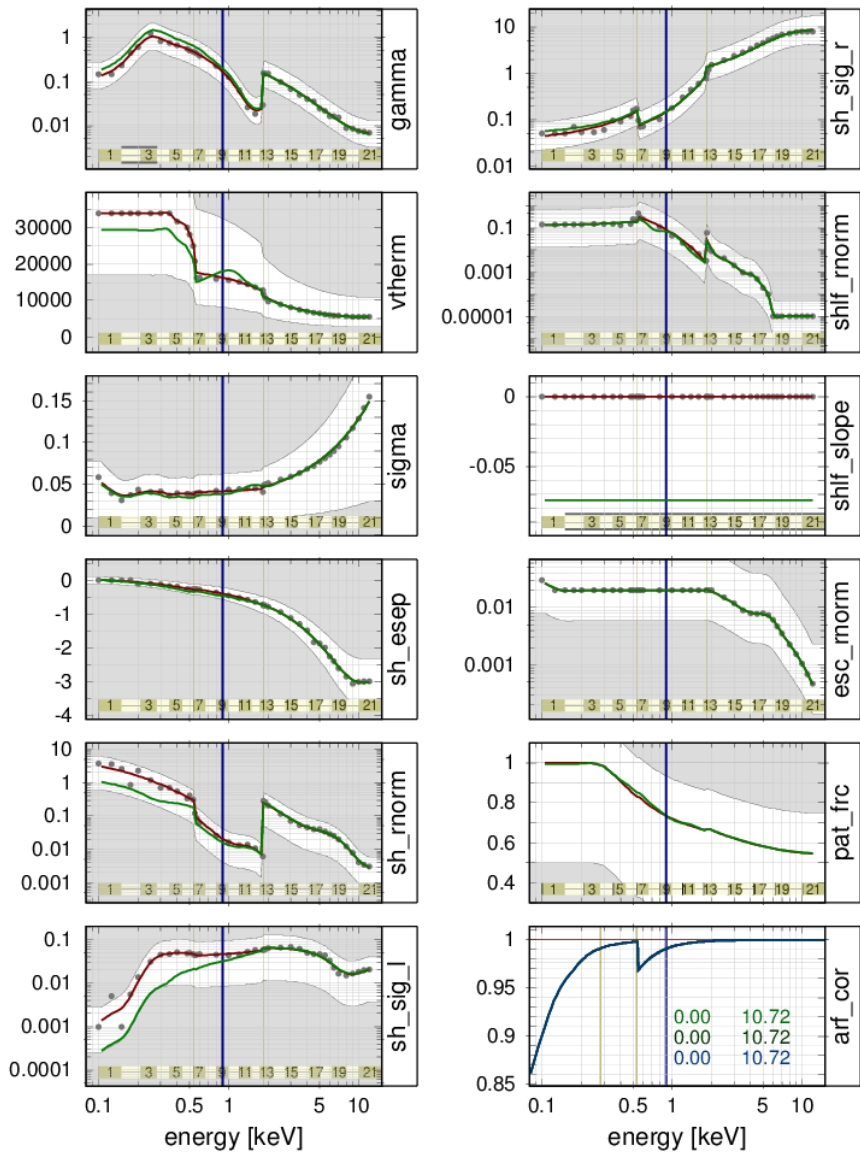


EPIC pn: RMF at E = 0.75 keV

gamma	= 0.258	--> 0.312	sh_sig_l	= 0.459E-01	--> 0.279E-01
vtherm	= 0.168E+05	--> 0.168E+05	sh_sig_r	= 0.110	--> 0.116
sigma	= 0.412E-01	--> 0.377E-01	shif_norm	= 0.147	--> 0.755E-01
sh_esep	= -0.347	--> -0.434	shif_slope	= 0.00	--> -0.744E-01
sh_rnorm	= 0.349E-01	--> 0.247E-01			

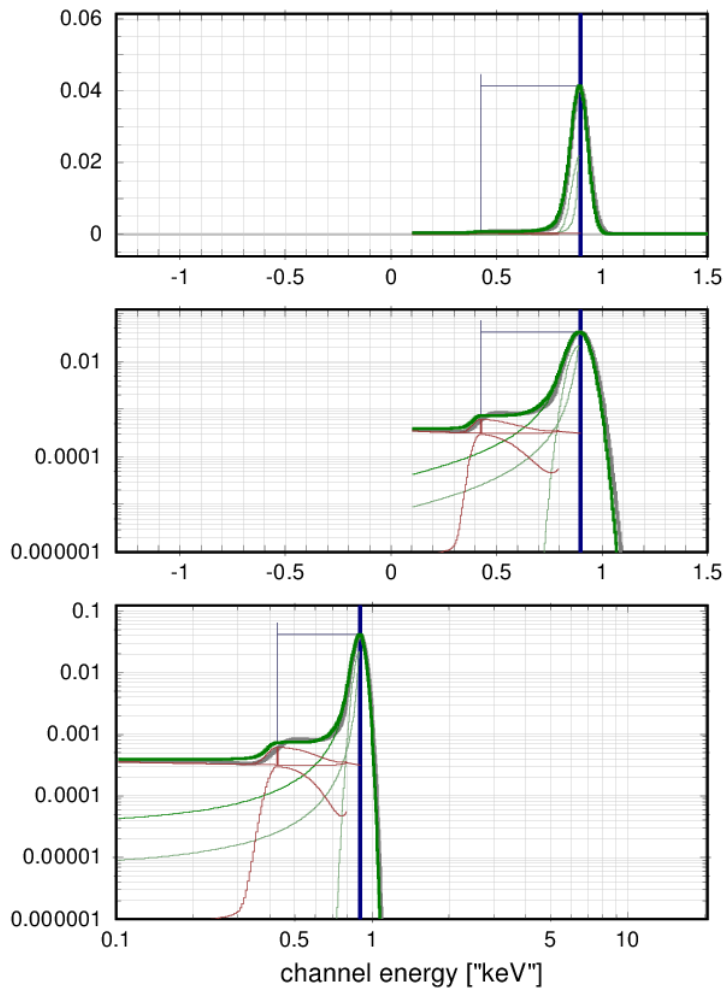


# What has changed in the RMF ?

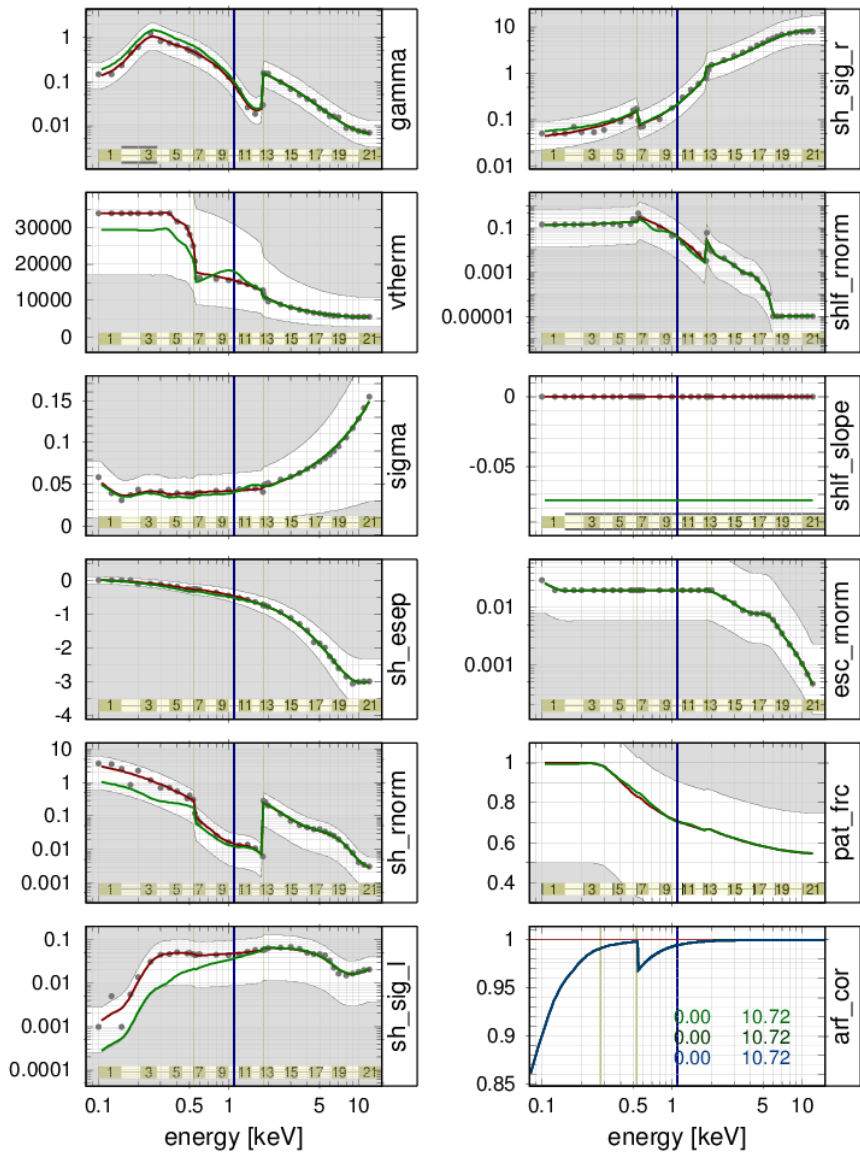


EPIC pn: RMF at E = 0.90 keV

gamma	= 0.164	--> 0.200	sh_sig_l	= 0.474E-01	--> 0.316E-01
vtherm	= 0.162E+05	--> 0.180E+05	sh_sig_r	= 0.147	--> 0.149
sigma	= 0.417E-01	--> 0.381E-01	shif_norm	= 0.840E-01	--> 0.701E-01
sh_esep	= -0.402	--> -0.472	shif_slope	= 0.00	--> -0.744E-01
sh_rnorm	= 0.208E-01	--> 0.157E-01			

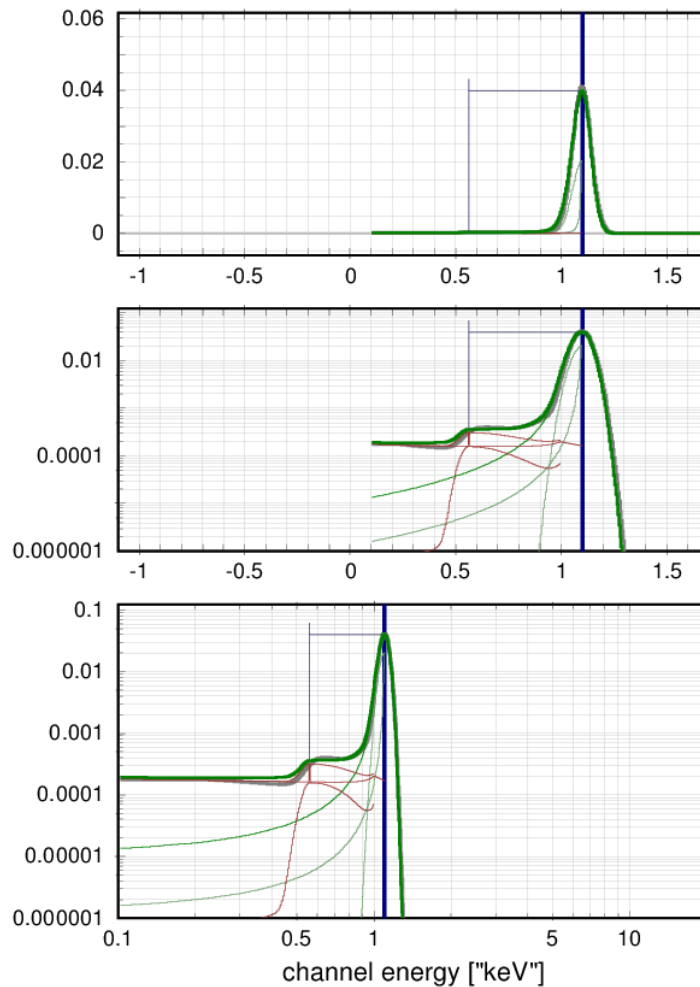


# What has changed in the RMF ?



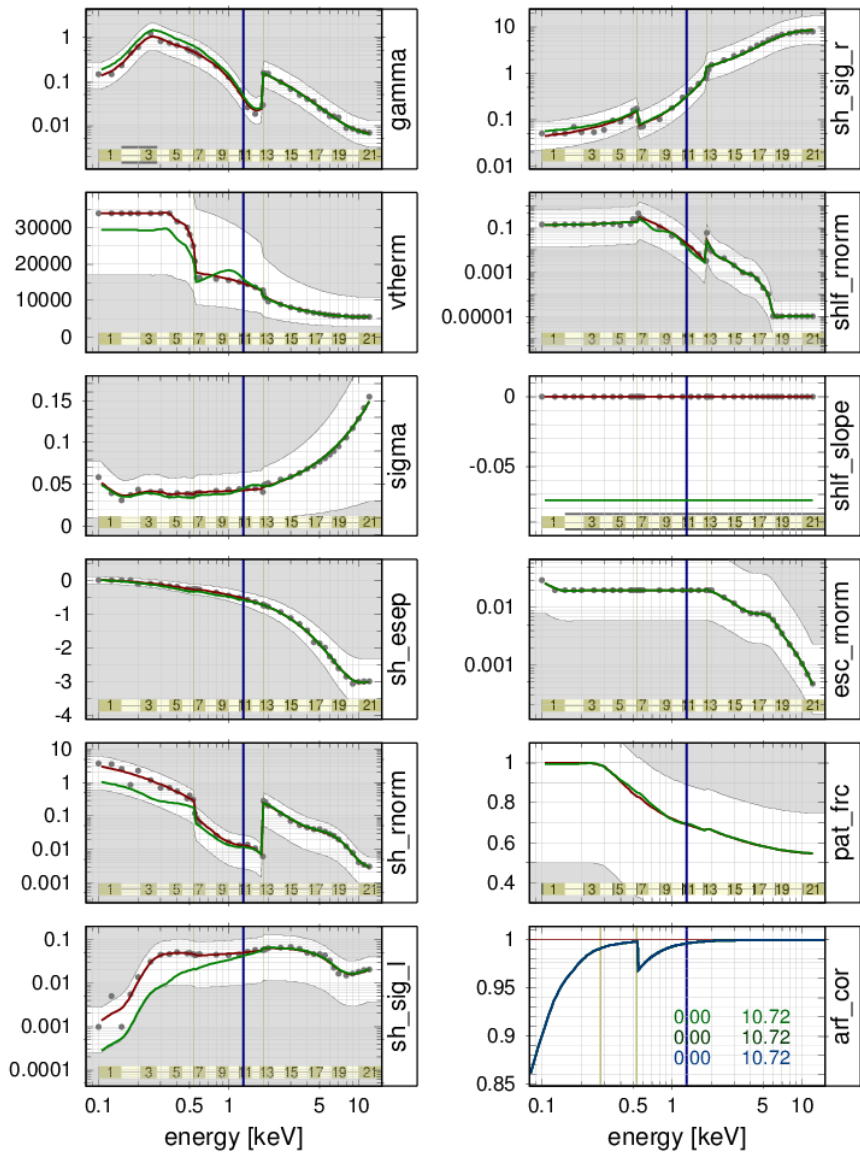
EPIC pn: RMF at E = 1.10 keV

gamma	= 0.821E-01 --> 0.995E-01	sh_sig_l	= 0.495E-01 --> 0.372E-01
vtherm	= 0.154E+05 --> 0.179E+05	sh_sig_r	= 0.218 --> 0.219
sigma	= 0.423E-01 --> 0.407E-01	shlf_norm	= 0.397E-01 --> 0.363E-01
sh_esep	= -0.477 --> -0.540	shlf_slope	= 0.00 --> -0.744E-01
sh_norm	= 0.146E-01 --> 0.119E-01		



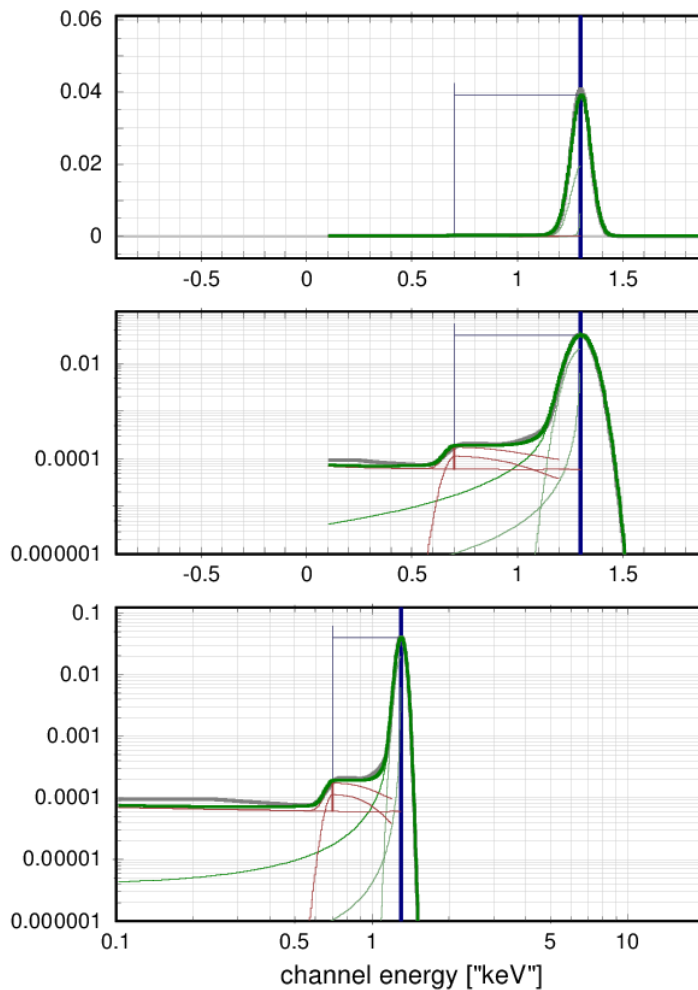


# What has changed in the RMF ?



EPIC pn: RMF at E = 1.30 keV

gamma	=	0.405E-01	-->	0.473E-01	sh_sig_l	=	0.516E-01	-->	0.425E-01
vtherm	=	0.147E+05	-->	0.159E+05	sh_sig_r	=	0.320	-->	0.321
sigma	=	0.429E-01	-->	0.451E-01	shlf_rnorm	=	0.190E-01	-->	0.132E-01
sh_esep	=	-0.550	-->	-0.597	shlf_slope	=	0.00	-->	-0.744E-01
sh_rnorm	=	0.132E-01	-->	0.114E-01					

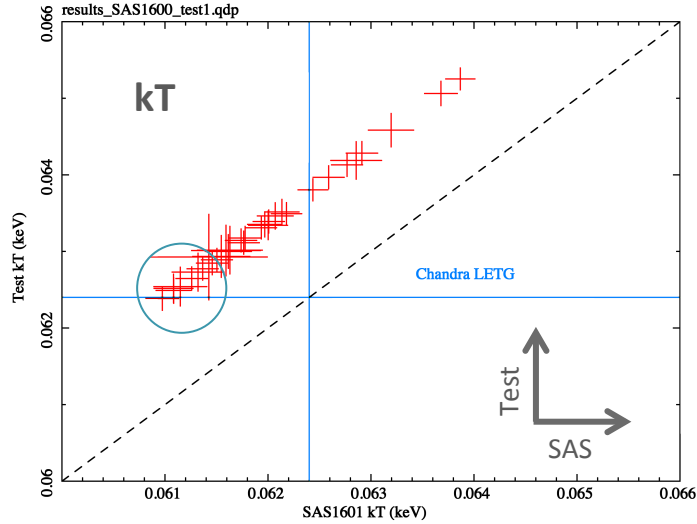


# First tests of the modified RMF & ARF

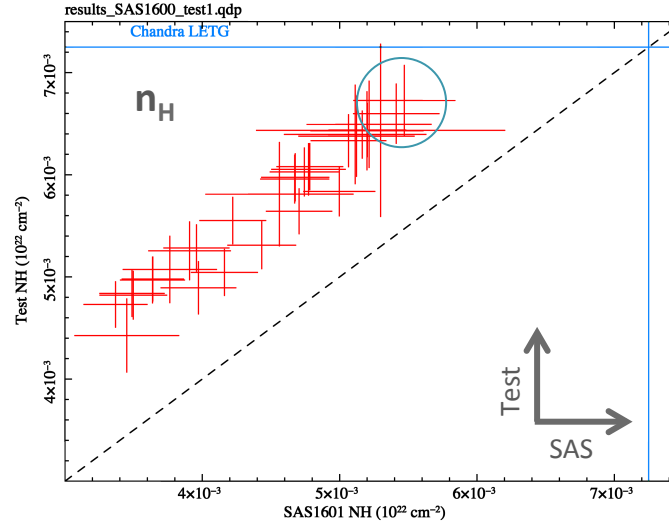
by Frank Haberl

RXJ 1856, EPIC pn, SW, thin filter\*: comparison with SAS 16.01 results

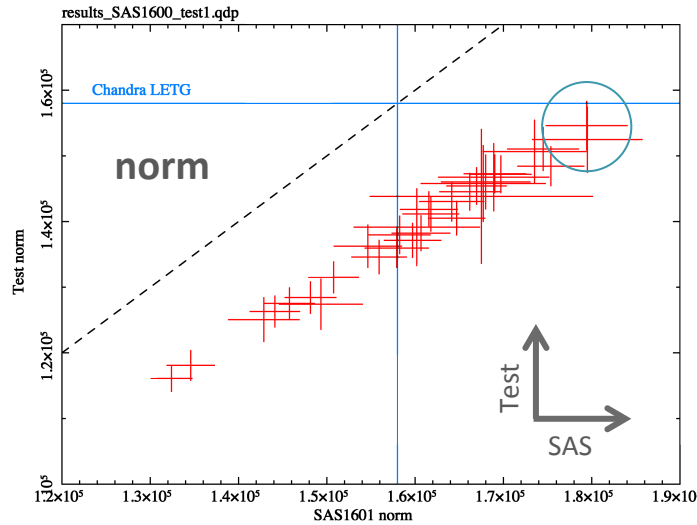
(\*) but:  
 distribution in  
 - obs times  
 - coordinates  
 and:  
 - coupling of  
 parameters



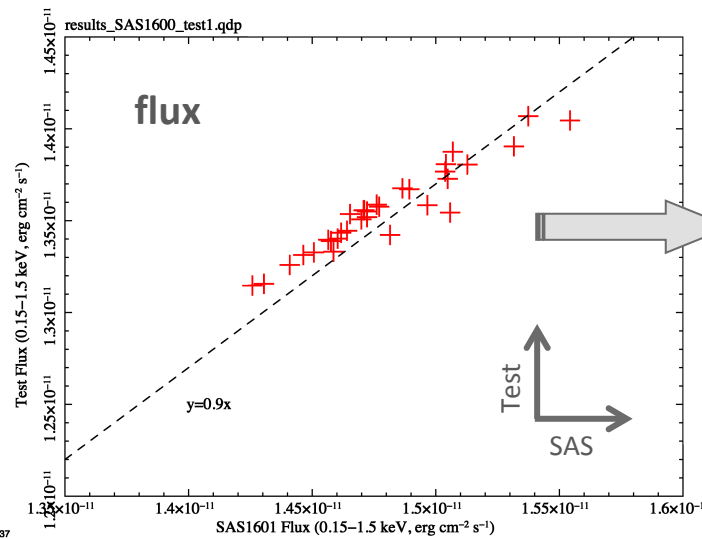
fw 13-Mar-2018 13:41



fw 13-Mar-2018 13:47



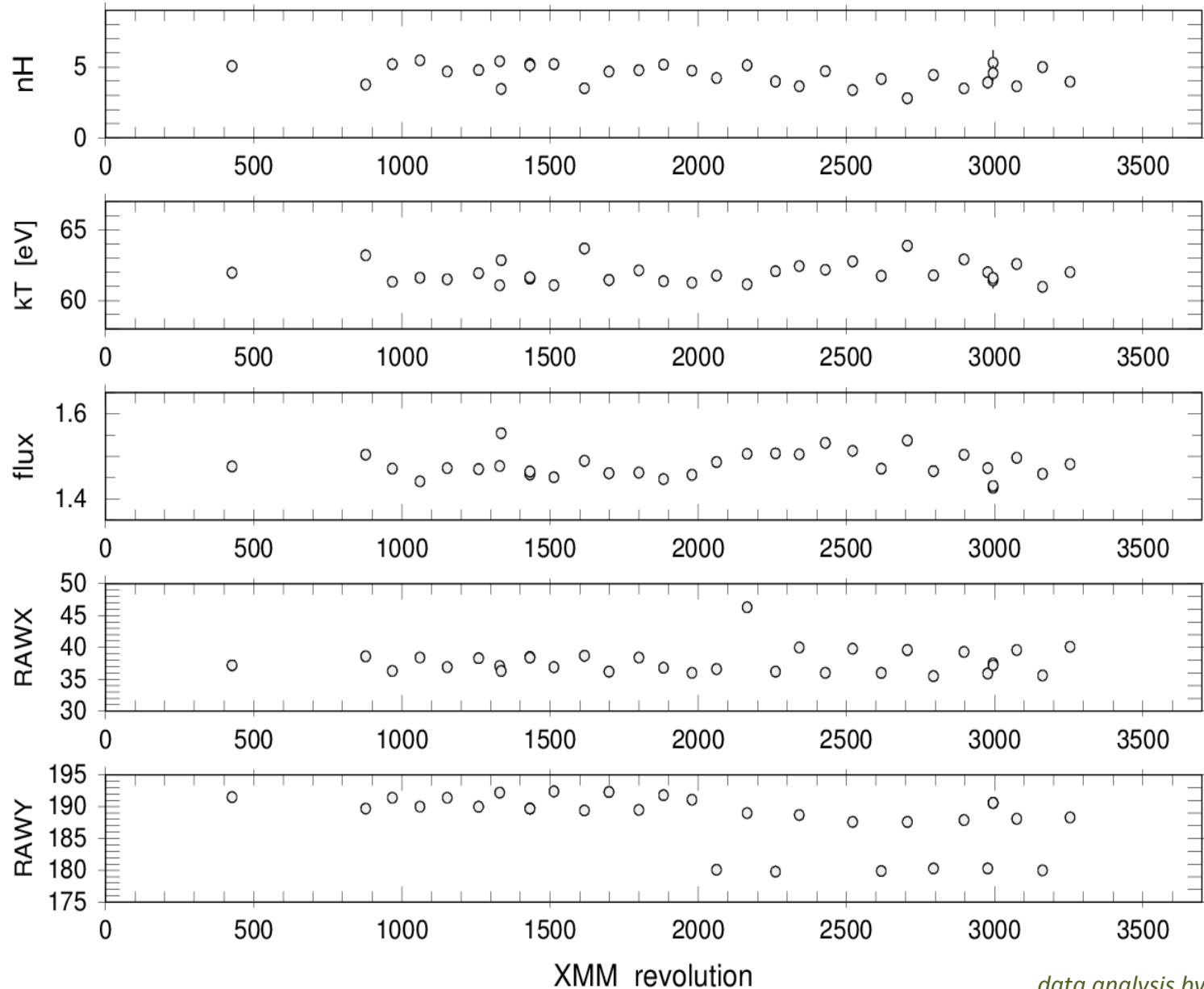
fw 13-Mar-2018 13:37



fw 13-Mar-2018 13:30

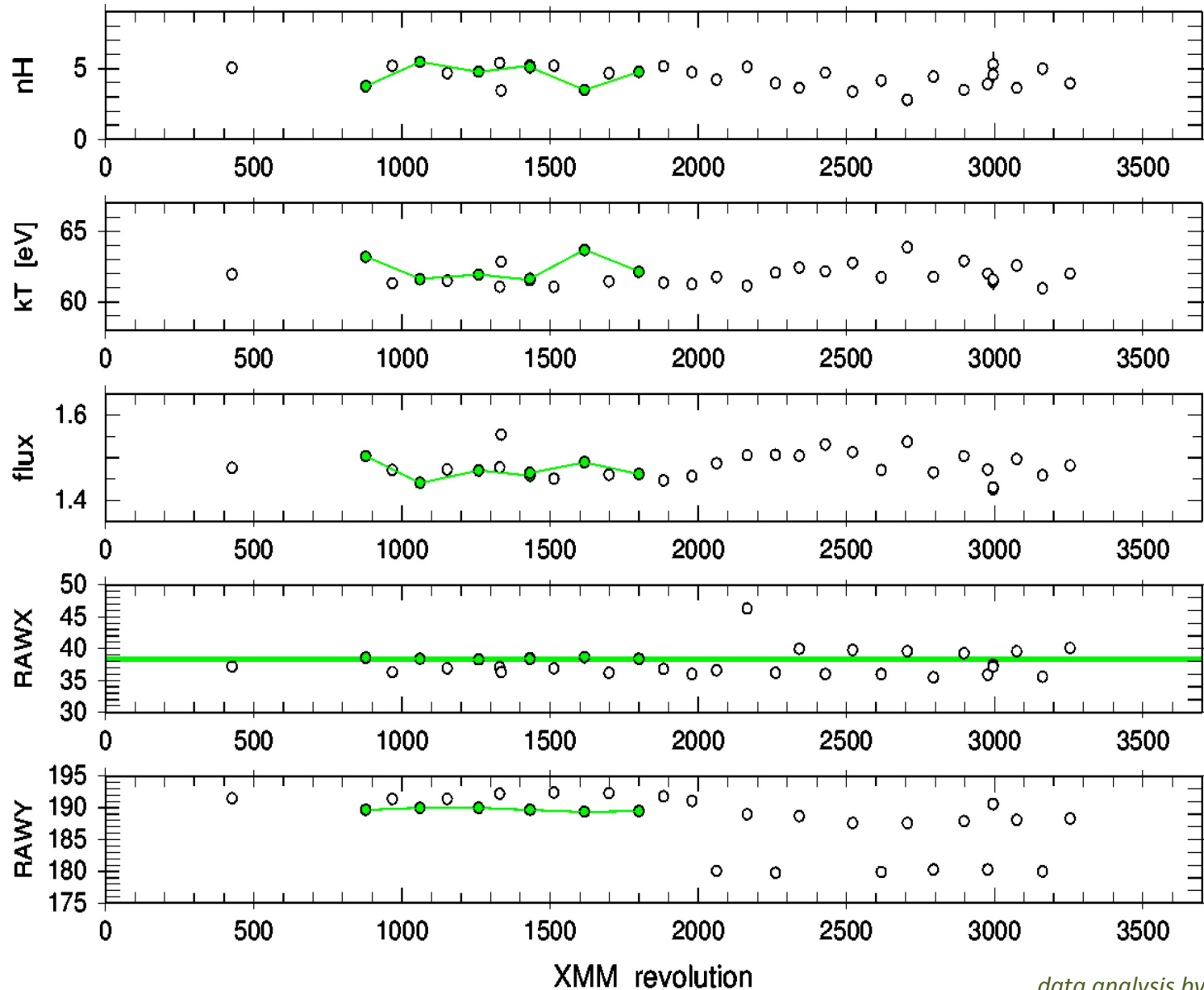
the modified  
 RMF & ARF  
 reduce the  
 derived  
 0.15 – 1.5 keV  
 flux by ~10%

# RXJ1856: temporal and spatial dependencies (SW, thin, SAS 16.0.1)



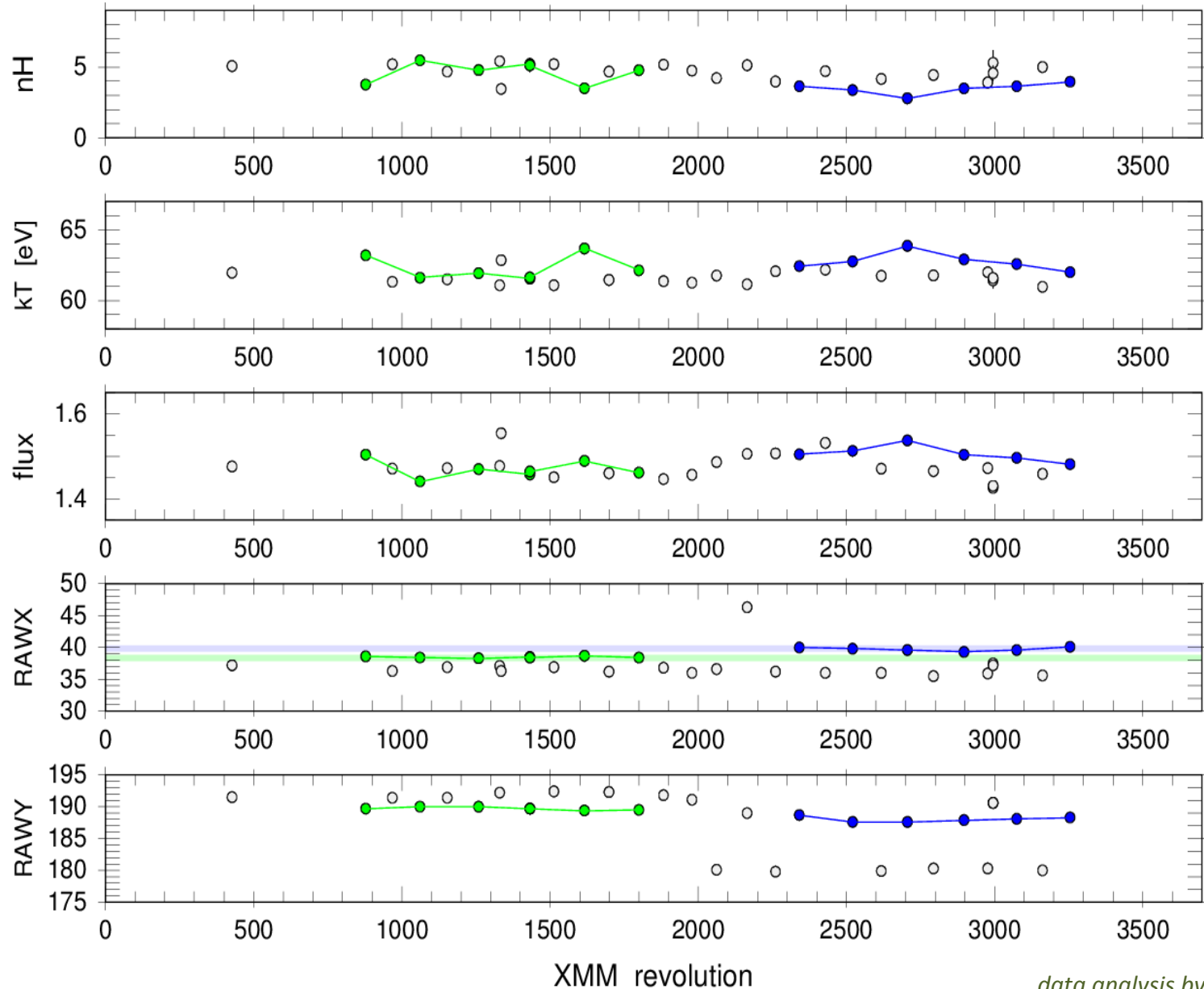
*data analysis by Frank Haberl*

# RXJ1856: temporal and spatial dependencies (SW, thin, SAS 16.0.1)



*data analysis by Frank Haberl*

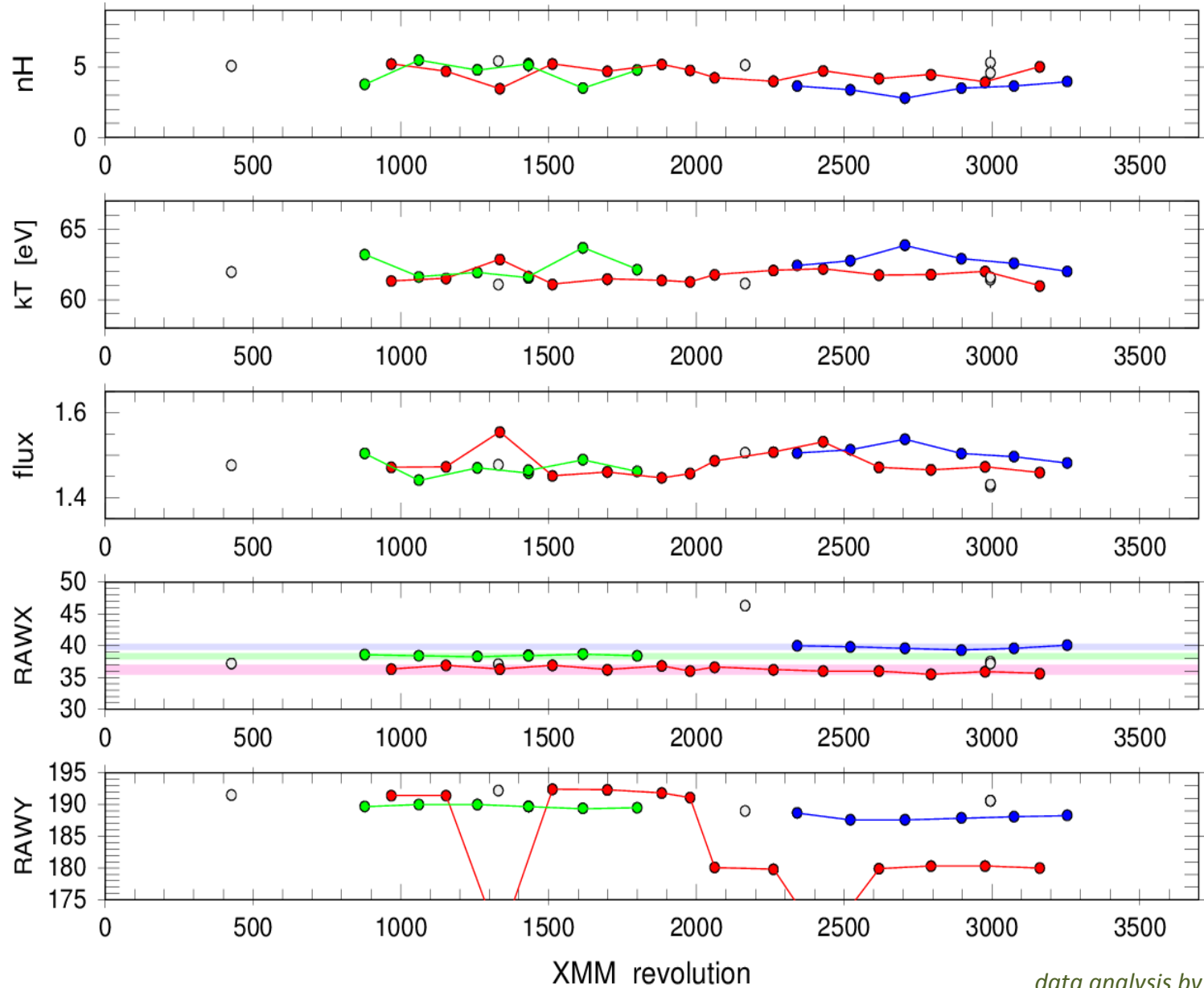
# RXJ1856: temporal and spatial dependencies (SW, thin, SAS 16.0.1)



data analysis by Frank Haberl



# RXJ1856: temporal and spatial dependencies (SW, thin, SAS 16.0.1)



data analysis by Frank Haberl

# Current status

With the current RMF and ARF adjustments it is now possible to fit simultaneously spectra of **RXJ1856** and **1E0102** obtained with **all three EPIC pn filters** in revs 2995 – 3000 in SW mode at the aimpoint **without any renormalization between the filters**, and using **the unmodified Chandra LETG spectral parameters** for RXJ 1856. The ARF adjustment requires only a slight increase of the oxygen thickness (with the same value for all filters).

## Current restrictions:

- only **soft** (< 2 keV) spectra used (to avoid complications with photon escape)
- only **SW** mode spectra analysed (excellent photon statistics, negligible pile-up)
- only **aimpoint** region analysed (to minimise spatial dependencies)
- only **rev 2995 – 3000** period analysed (to minimise temporal dependencies)

## Extensions needed:

- full spectral range
- other readout modes: FF, eFF, LW, TI, BU
- full field of view
- full time span

# How to proceed..

energy  
range

- **E < 1.7 keV**
- **E > 1.7 keV**

below 1.7 keV no photon escape  
RMF must be available for full energy range

- **SW**
- LW
- **FF**
- eFF
- TI
- BU

SW is useful for initial studies  
(high source flux, good statistics)

FF is the standard mode

readout  
mode

- **aimpoint**
- **aimpoint area**
- full FoV

in most of the observations  
the target is located at the aimpoint  
in order to analyse existing observations,  
the aimpoint area must be calibrated

detector  
position

- **rev 2995 – 3000**
- **full time span**

temporal dependence must be known  
in order to analyse more observations

observation  
time

- **rev 2995 – 3000**
- **full time span**